INITIAL
End Date: 1/31/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/1/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 29,702,371 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 504,707 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 10,308 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -71,911 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3): 30,145,475 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 8,315 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 8,315 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 30,153,790 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 30,153,790 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 8,711,842 [7500]
   - B. Other banks qualified under Regulation 30.7: 6,442,762 [7520] 15,154,604 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 7,281,374 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 7,281,374 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 11,404,650 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 11,404,650 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 914,606 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 10,308 [7730]
   - E. Value of short option contracts: -71,911 [7735] 853,003 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 34,693,631 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 4,539,841 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 3,539,841 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: $1,352,752,514 [7010]
   - **B. Securities (at market)**: $193,823,671 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $145,662,697 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $3,063,369,922 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -$2,101,119,309 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $2,654,489,495 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $5,542,152 [7045]
   - Less: amount offset by customer securities: -$5,386,937 [7047] **155,215** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $2,654,644,710 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $509,162,392 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $523,415 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $266,924,036 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: $699,893,598 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $189,361,301 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $51,860,309 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $3,063,369,922 [7132]
    - **B. Value of open short option contracts**: -$2,101,119,309 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**: $3,938,955 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $2,683,914,619 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $29,269,909 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: $10,269,909 [7198]
## Daily Segregation - Swaps Statement

### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]