INITIAL
End Date: 2/3/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/4/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $ ; or $19,000,000;
  b. Minimum percentage of customer segregated funds required: % ; or 0 %
  c. Dollar amount range between: $ and ; or $0 $0
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $ ; or $1,000,000;
  b. Minimum percentage of customer secured funds required: % ; or 0 %
  c. Dollar amount range between: $ and ; or $0 $0
  d. Percentage range of customer secured funds required between: % and %.
     0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $ ; or $0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0 %
  c. Dollar amount range between: $ and ; or $0 $0
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 29,358,308 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,215,613 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 4,725 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade -12,390 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 30,566,256 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 11,193 [7351]

   Less: amount offset by customer owned securities 0 [7352] 11,193 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 30,577,449 [7355]

   7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 30,577,449 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 9,956,145 [7500]
   - B. Other banks qualified under Regulation 30.7 6,491,884 [7520] 16,448,029 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 7,700,690 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 7,700,690 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 10,613,699 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 10,613,699 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 901,734 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 4,725 [7730]
   - E. Value of short option contracts -12,390 [7735] 894,309 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 35,656,487 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,079,038 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,079,038 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: 1,353,676,935 [7010]
   - **B. Securities (at market)**: 189,862,281 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 208,901,134 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 3,218,933,811 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -2,274,448,726 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 2,696,925,435 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 5,934,742 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: 2,697,324,316 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 489,585,582 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 553,687 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 361,430,848 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 824,866,085 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 185,374,421 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -83,045,684 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 3,218,933,811 [7132]
    - **B. Value of open short option contracts**: -2,274,448,726 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,934,173 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 2,727,184,197 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 29,859,881 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 10,859,881 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]