INITIAL
End Date: 2/7/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/8/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   
   b. Minimum percentage of customer segregated funds required: % ; or
   
   c. Dollar amount range between: and; or
   
   d. Percentage range of customer segregated funds required between: % and %.

   

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

   
   c. Dollar amount range between: and; or

   
   d. Percentage range of customer secured funds required between: % and %.


FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

   
   c. Dollar amount range between: and; or

   
   d. Percentage range of cleared swaps customer collateral required between: % and %.


Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \(0\) [7305]
   - B. Securities (at market): \(0\) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \(986,245\) [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \(552\) [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \(-22,646\) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \(30,279,368\) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \(2,575\) [7351]
   - Less: amount offset by customer owned securities: \(0\) [7352]
   - \(2,575\) [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: \(30,281,943\) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: \(30,281,943\) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \(10,739,787\) [7500]
   - B. Other banks qualified under Regulation 30.7: \(9,518,653\) [7520]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \(0\) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \(0\) [7560]

3. **Equities with registered futures commission merchants**
   - A. Cash: \(8,350,427\) [7580]
   - B. Securities: \(0\) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7600]
   - D. Value of long option contracts: \(0\) [7610]
   - E. Value of short option contracts: \(8,350,427\) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \(5,402,952\) [7640]
   - B. Securities: \(0\) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \(0\) [7660]
   - D. Value of long option contracts: \(0\) [7670]
   - E. Value of short option contracts: \(5,402,952\) [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \(910,642\) [7700]
   - B. Securities: \(0\) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7720]
   - D. Value of long option contracts: \(552\) [7730]
   - E. Value of short option contracts: \(-22,646\) [7735]

6. **Amounts with other depositories designated by a foreign board of trade**: \(0\) [7760]

7. **Segregated funds on hand**: \(0\) [7765]

8. **Total funds in separate section 30.7 accounts**: \(34,900,367\) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: \(4,618,424\) [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: \(1,000,000\) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: \(3,618,424\) [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,478,360,115 [7010]
   B. Securities (at market) 193,352,781 [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market 100,830,329 [7030]
3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,353,364,350 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,445,095,441 [7033]
4. Net equity (deficit) (add lines 1, 2 and 3) 2,680,812,134 [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,917,785 [7045]
   Less: amount offset by customer securities -1,632,636 [7047] 285,149 [7050]
6. Amount required to be segregated (add lines 4 and 5) 2,681,097,283 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 501,191,662 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 553,687 [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 341,514,280 [7100]
   B. Securities representing investments of customers’ funds (at market) 824,860,980 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 188,864,921 [7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets -59,345,868 [7130]
10. Exchange traded options
    A. Value of open long option contracts 3,353,364,350 [7132]
    B. Value of open short option contracts -2,445,095,441 [7133]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]
12. Segregated funds on hand 3,934,173 [7150]
13. Total amount in segregation (add lines 7 through 12) 2,709,842,744 [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,745,461 [7190]
15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount 9,745,461 [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
   A. Value of open cleared swaps long option contracts 0 [8670]
   B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
   A. Net liquidating equity 0 [8690]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
   A. Cash 0
   B. Securities representing investments of cleared swaps customers' funds (at market) 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]