INITIAL
End Date: 2/14/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/15/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 31,244,801 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -76,666 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -42,618 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 31,125,517 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 796,777 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 796,777 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 31,922,294 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 31,922,294 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 15,804,239 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,169,175 [7520] 19,973,414 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 9,758,265 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 9,758,265 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 4,870,750 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,870,750 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 966,164 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: -42,618 [7735] 923,546 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 35,525,975 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 3,603,681 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 2,603,681 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - Cash: $1,568,567,699
   - Securities (at market): $199,202,370

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: $97,930,828

3. Exchange traded options
   - Add market value of open option contracts purchased on a contract market: $3,817,279,679
   - Deduct market value of open option contracts granted (sold) on a contract market: $2,941,971,327

4. Net equity (deficit) (add lines 1, 2 and 3): $2,741,009,249

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $2,087,315
   - Less: amount offset by customer securities: $1,976,879, $110,436

6. Amount required to be segregated (add lines 4 and 5): $2,741,119,685

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - Cash: $462,632,290
   - Securities representing investments of customers' funds (at market): $0
   - Securities held for particular customers or option customers in lieu of cash (at market): $561,441

8. Margins on deposit with derivatives clearing organizations of contract markets
   - Cash: $195,816,362
   - Securities representing investments of customers' funds (at market): $949,757,651
   - Securities held for particular customers or option customers in lieu of cash (at market): $194,571,703


10. Exchange traded options
    - Value of open long option contracts: $3,817,279,679
    - Value of open short option contracts: $-2,941,971,327

11. Net equities with other FCMs
    - Net liquidating equity: $0
    - Securities representing investments of customers' funds (at market): $0
    - Securities held for particular customers or option customers in lieu of cash (at market): $0

12. Segregated funds on hand: $4,069,226

13. Total amount in segregation (add lines 7 through 12): $2,771,516,165

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): $30,396,480

15. Management Target Amount for Excess funds in segregation: $19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: $11,396,480
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities: 0 [8560] [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]