INITIAL
End Date: 2/23/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/24/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0%
- Percentage range of customer segregated funds required between: 0 and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0%
- Percentage range of customer secured funds required between: 0 and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0%
- Percentage range of cleared swaps customer collateral required between: 0 and 0%

Attach supporting documents CH
**INITIAL**

End Date: 2/23/2022  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 2/24/2022

### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 33,110,439 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 220,084 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 14,666 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: -56,472 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 33,288,717 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 3,794 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 3,794 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 33,292,511 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 33,292,511 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 12,659,518 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 4,699,016 [7520] 17,358,534 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 9,880,295 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 9,880,295 [7615] 9,880,295 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 5,503,119 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 5,503,119 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 5,737,331 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 14,666 [7730]
   - **E. Value of short option contracts**: -56,472 [7735] 5,695,525 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 38,437,473 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,144,962 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,144,962 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash:** $1,719,983,394
   - **Securities (at market):** $196,847,528

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $190,995,854

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market:** $3,571,884,795
   - **B. Deduct market value of open option contracts granted (sold) on a contract market:** $2,664,476,027
   - **Net equity (deficit) (add lines 1, 2 and 3):** $3,015,235,544

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
   - **Amount required to be segregated (add lines 4 and 5):** $3,015,357,165

5. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - **A. Cash:** $654,934,901
     - **B. Securities representing investments of customers' funds (at market):** $0
     - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $40,548,819
   - **Margins on deposit with derivatives clearing organizations of contract markets**
     - **A. Cash:** $356,768,618
     - **B. Securities representing investments of customers' funds (at market):** $899,787,953
     - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $152,071,703
   - **Net settlement from (to) derivatives clearing organizations of contract markets**
     - $27,957,314
   - **Exchange traded options**
     - **A. Value of open long option contracts:** $3,571,884,795
     - **B. Value of open short option contracts:** $-2,664,476,027
   - **Net equities with other FCMs**
     - **A. Net liquidating equity:** $0
     - **B. Securities representing investments of customers' funds (at market):** $0
     - **C. Securities held for particular customers or option customers in lieu of cash (at market):** $0
   - **Segregated funds on hand**
     - $4,227,006
   - **Total amount in segregation (add lines 7 through 12)**
     - $3,043,705,082
   - **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
     - $28,347,917
   - **Management Target Amount for Excess funds in segregation**
     - $19,000,000
   - **Excess (deficiency) funds in segregation over (under) Management Target Amount**
     - **Excess:** $9,347,917
### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Funds in Cleared Swaps Customer Segregated Accounts**
   - Deposited in cleared swaps customer segregated accounts at banks
     - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]