INITIAL
End Date: 2/25/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/28/2022
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: ; or 19,000,000
- Minimum percentage of customer segregated funds required: ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of customer segregated funds required between: and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: ; or 1,000,000
- Minimum percentage of customer secured funds required: ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of customer secured funds required between: and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: ; or 0
- Minimum percentage of cleared swaps customer collateral required: ; or 0
- Dollar amount range between: and; or 0 0
- Percentage range of cleared swaps customer collateral required between: and%. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 34,967,352 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -281,352 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 9,394 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade -81,676 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 34,613,718 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,784 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 34,620,502 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 34,620,502 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 18,950,774 [7500]
   - B. Other banks qualified under Regulation 30.7 5,402,825 [7520] 24,353,599 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 10,096,145 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 10,096,145 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 4,907,070 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 4,907,070 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 858,364 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 9,394 [7730]
   - E. Value of short option contracts -81,676 [7735] 786,082 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 40,142,896 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,522,394 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,522,394 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash  
     - 1,721,840,897 [7010]
   - B. Securities (at market)  
     - 159,579,041 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  
   - 166,639,270 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market  
     - 3,469,125,065 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  
     - -2,516,046,063 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)  
   - 3,001,138,210 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  
   - 3,146,504 [7045]
   - Less: amount offset by customer securities  
     - -2,856,280 [7047] 290,224 [7050]

6. Amount required to be segregated (add lines 4 and 5)  
   - 3,001,428,434 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash  
     - 721,757,449 [7070]
   - B. Securities representing investments of customers’ funds (at market)  
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     - 546,049 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash  
     - 411,906,052 [7100]
   - B. Securities representing investments of customers’ funds (at market)  
     - 874,738,251 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     - 154,751,861 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  
   - -80,980,076 [7130]

10. Exchange traded options
   - A. Value of open long option contracts  
     - 3,469,125,065 [7132]
   - B. Value of open short option contracts  
     - -2,516,046,063 [7133]

11. Net equities with other FCMs
   - A. Net liquidating equity  
     - 0 [7140]
   - B. Securities representing investments of customers’ funds (at market)  
     - 0 [7160]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  
     - 0 [7170]

12. Segregated funds on hand  
   - 4,281,131 [7150]

13. Total amount in segregation (add lines 7 through 12)  
   - 3,040,079,719 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  
   - 38,651,285 [7190]

15. Management Target Amount for Excess funds in segregation  
   - 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount  
   - Excess  
     - 19,651,285 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDs IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash [8500] 0
   - B. Securities (at market) [8510] 0
2. Net unrealized profit (loss) in open cleared swaps [8520] 0
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased [8530] 0
   - B. Market value of open cleared swaps option contracts granted (sold) [8540] 0
4. Net Equity (deficit) (add lines 1, 2, and 3) [8550] 0
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560] 0
   - Less: amount offset by customer owned securities [8570] [8580] 0
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590] 0
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash [8600] 0
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8610] 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620] 0
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash [8630] 0
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8640] 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650] 0
9. Net settlement from (to) derivatives clearing organizations [8660] 0
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts [8670] 0
    - B. Value of open cleared swaps short option contracts [8680] 0
11. Net equities with other FCMs
    - A. Net liquidating equity [8690] 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) [8700] 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710] 0
12. Cleared swaps customer funds on hand
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715] 0
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8720] 0
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8730] 0
15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760] 0
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770] 0