WINJAMMER FILING

INITIAL
End Date: 3/1/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/2/2022
**Name of Company**

| WEDBUSH SECURITIES INC |

**Contact Name**

| Kevin Wirth |

**Contact Phone Number**

| 312-784-0475 |

**Contact Email Address**

| kevin.wirth@wedbush.com |

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**FCM's Customer Segregated Funds Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Minimum dollar amount:</td>
<td>; or</td>
</tr>
<tr>
<td>b. Minimum percentage of customer segregated funds required:</td>
<td>% ; or</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>and; or</td>
</tr>
<tr>
<td>d. Percentage range of customer segregated funds required between:</td>
<td>% and%.</td>
</tr>
<tr>
<td>a.</td>
<td>19,000,000</td>
</tr>
<tr>
<td>b.</td>
<td>0</td>
</tr>
<tr>
<td>c.</td>
<td>0 0</td>
</tr>
<tr>
<td>d.</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**FCM's Customer Secured Amount Funds Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Minimum dollar amount:</td>
<td>; or</td>
</tr>
<tr>
<td>b. Minimum percentage of customer secured funds required:</td>
<td>% ; or</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>and; or</td>
</tr>
<tr>
<td>d. Percentage range of customer secured funds required between:</td>
<td>% and%.</td>
</tr>
<tr>
<td>a.</td>
<td>1,000,000</td>
</tr>
<tr>
<td>b.</td>
<td>0</td>
</tr>
<tr>
<td>c.</td>
<td>0 0</td>
</tr>
<tr>
<td>d.</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Minimum dollar amount:</td>
<td>; or</td>
</tr>
<tr>
<td>b. Minimum percentage of cleared swaps customer collateral required:</td>
<td>% ; or</td>
</tr>
<tr>
<td>c. Dollar amount range between:</td>
<td>and; or</td>
</tr>
<tr>
<td>d. Percentage range of cleared swaps customer collateral required between:</td>
<td>% and%.</td>
</tr>
<tr>
<td>a.</td>
<td>0</td>
</tr>
<tr>
<td>b.</td>
<td>0</td>
</tr>
<tr>
<td>c.</td>
<td>0 0</td>
</tr>
<tr>
<td>d.</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**Attach supporting documents CH**
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: $34,389,572 [7315]
   - **B. Securities (at market)**: $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $96,528 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: $2,542 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: $-34,822 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $34,453,820 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: $7,599 [7351]
   - Less: amount offset by customer owned securities: $0 [7352] $7,599 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $34,461,419 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $34,461,419 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: $16,310,793 [7500]
   - B. Other banks qualified under Regulation 30.7: $7,011,368 [7520] $23,322,161 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: $0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560] $0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: $8,971,825 [7580]
   - B. Securities: $0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7600]
   - D. Value of long option contracts: $0 [7610]
   - E. Value of short option contracts: $0 [7615] $8,971,825 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: $6,389,962 [7640]
   - B. Securities: $0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: $0 [7660]
   - D. Value of long option contracts: $0 [7670]
   - E. Value of short option contracts: $0 [7675] $6,389,962 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: $877,917 [7700]
   - B. Securities: $0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7720]
   - D. Value of long option contracts: $2,542 [7730]
   - E. Value of short option contracts: $-34,822 [7735] $845,637 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: $0 [7760]

7. **Segregated funds on hand**: $0 [7765]

8. **Total funds in separate section 30.7 accounts**: $39,529,585 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $5,068,166 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $4,068,166 [7785]
INITIAL
End Date:3/1/2022
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash \[1,647,740,497\] [7010]
   B. Securities (at market) \[159,520,620\] [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market \[276,972,902\] [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market \[4,135,632,782\] [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market \[-3,126,237,112\] [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) \[3,093,629,689\] [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount \[4,546,071\] [7045]
   Less: amount offset by customer securities \[-1,327,742\] [7047] \[3,218,329\] [7050]

6. Amount required to be segregated (add lines 4 and 5) \[3,096,848,018\] [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   A. Cash \[610,823,960\] [7070]
   B. Securities representing investments of customers’ funds (at market) \[0\] [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) \[545,167\] [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash \[411,878,466\] [7100]
   B. Securities representing investments of customers’ funds (at market) \[874,762,043\] [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) \[154,751,861\] [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets \[68,104,388\] [7130]

10. Exchange traded options
    A. Value of open long option contracts \[4,135,632,782\] [7132]
    B. Value of open short option contracts \[-3,126,237,112\] [7133]

11. Net equities with other FCMs
    A. Net liquidating equity \[0\] [7140]
    B. Securities representing investments of customers’ funds (at market) \[0\] [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) \[0\] [7170]

12. Segregated funds on hand \[4,223,592\] [7150]

13. Total amount in segregation (add lines 7 through 12) \[3,134,485,147\] [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \[37,637,129\] [7190]

15. Management Target Amount for Excess funds in segregation \[19,000,000\] [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount \[18,637,129\] [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount: 0 [8560]

Less: amount offset by customer owned securities: 0 [8570] 9 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   Funds in Cleared Swaps Customer Segregated Accounts: 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]