INITIAL
End Date: 3/2/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/3/2022
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
**INITIAL**

**End Date:** 3/2/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
</tr>
<tr>
<td>A. Cash</td>
<td>$33,829,400 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>$0 [7317]</td>
</tr>
<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
</tr>
<tr>
<td></td>
<td>$1,504,116 [7325]</td>
</tr>
<tr>
<td>3.</td>
<td>Exchange traded options</td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>$3,319 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>$-54,363 [7337]</td>
</tr>
<tr>
<td>4.</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
</tr>
<tr>
<td></td>
<td>$35,282,472 [7345]</td>
</tr>
<tr>
<td>5.</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
</tr>
<tr>
<td></td>
<td>$10,400 [7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>$0 [7352] $10,400 [7354]</td>
</tr>
<tr>
<td>6.</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
</tr>
<tr>
<td></td>
<td>$35,292,872 [7355]</td>
</tr>
<tr>
<td>7.</td>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6</td>
</tr>
<tr>
<td></td>
<td>$35,292,872 [7360]</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Cash in banks</td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td>$16,611,955 [7500]</td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>$7,026,636 [7520] $23,638,591 [7530]</td>
</tr>
<tr>
<td>2.</td>
<td>Securities</td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>$0 [7540]</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>$0 [7560] $0 [7570]</td>
</tr>
<tr>
<td>3.</td>
<td>Equities with registered futures commission merchants</td>
</tr>
<tr>
<td>A. Cash</td>
<td>$9,834,989 [7580]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>$0 [7590]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>$0 [7600]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>$0 [7610]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>$0 [7615] $9,834,989 [7620]</td>
</tr>
<tr>
<td>4.</td>
<td>Amounts held by clearing organizations of foreign boards of trade</td>
</tr>
<tr>
<td>A. Cash</td>
<td>$6,247,232 [7640]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>$0 [7650]</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>$0 [7660]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>$0 [7670]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>$0 [7675] $6,247,232 [7680]</td>
</tr>
<tr>
<td>5.</td>
<td>Amounts held by members of foreign boards of trade</td>
</tr>
<tr>
<td>A. Cash</td>
<td>$887,061 [7700]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>$0 [7710]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>$0 [7720]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>$3,319 [7730]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>$-54,363 [7735] $836,017 [7740]</td>
</tr>
<tr>
<td>6.</td>
<td>Amounts with other depositories designated by a foreign board of trade</td>
</tr>
<tr>
<td></td>
<td>$0 [7760]</td>
</tr>
<tr>
<td>7.</td>
<td>Segregated funds on hand</td>
</tr>
<tr>
<td></td>
<td>$0 [7765]</td>
</tr>
<tr>
<td>8.</td>
<td>Total funds in separate section 30.7 accounts</td>
</tr>
<tr>
<td></td>
<td>$40,556,829 [7770]</td>
</tr>
<tr>
<td>9.</td>
<td>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
</tr>
<tr>
<td></td>
<td>$5,263,957 [7780]</td>
</tr>
<tr>
<td>10.</td>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
</tr>
<tr>
<td></td>
<td>$1,000,000 [7780]</td>
</tr>
<tr>
<td>11.</td>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
</tr>
<tr>
<td></td>
<td>$4,263,957 [7785]</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**  
     \[1,819,065,053\]  
   - **B. Securities (at market)**  
     \[161,520,620\]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   \[168,259,780\]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  
     \[4,608,542,059\]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  
     \[-3,517,213,872\]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   \[3,240,173,640\]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   \[3,963,374\]
   - Less: amount offset by customer securities  
     \[-1,298,852\]
   -  
     \[2,664,522\]

6. **Amount required to be segregated (add lines 4 and 5)**  
   \[3,242,838,162\]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**  
     \[650,725,792\]
   - **B. Securities representing investments of customers' funds (at market)**  
     \[0\]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[545,167\]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  
     \[424,043,251\]
   - **B. Securities representing investments of customers' funds (at market)**  
     \[874,752,903\]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[156,751,861\]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   \[79,952,828\]

10. **Exchange traded options**
    - **A. Value of open long option contracts**  
      \[4,608,542,059\]
    - **B. Value of open short option contracts**  
      \[-3,517,213,872\]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  
      \[0\]
    - **B. Securities representing investments of customers' funds (at market)**  
      \[0\]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
      \[0\]

12. **Segregated funds on hand**  
    \[4,223,592\]

13. **Total amount in segregation (add lines 7 through 12)**  
    \[3,282,323,581\]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    \[39,485,419\]

15. **Management Target Amount for Excess funds in segregation**  
    \[19,000,000\]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**  
    **Excess**  
    \[20,485,419\]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [0] [8500]
   B. Securities (at market) [0] [8510]

2. Net unrealized profit (loss) in open cleared swaps [0] [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [0] [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [0] [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [0] [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [0] [8560]
   Less: amount offset by customer owned securities [0] [8570] [9] [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [0] [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [0] [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [0] [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0] [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [0] [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [0] [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0] [8650]

9. Net settlement from (to) derivatives clearing organizations [0] [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [0] [8670]
    B. Value of open cleared swaps short option contracts [0] [8680]

11. Net equities with other FCMs
    A. Net liquidating equity [0] [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [0] [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0] [8710]

12. Cleared swaps customer funds on hand
    A. Cash [0]
    B. Securities representing investments of cleared swaps customers' funds (at market) [0]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [0] [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [0] [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [0] [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [0] [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [0] [8770]