INITIAL
End Date: 3/4/2022
Firm Name: WEEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/7/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: and
- Percentage range of customer segregated funds required between: % and%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: and
- Percentage range of customer secured funds required between: % and%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: and
- Percentage range of cleared swaps customer collateral required between: % and%

Attach supporting documents CH
INITIAL
End Date: 3/4/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/7/2022

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
      31,537,305 [7315]
   B. Securities (at market)
      0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   3,982,180 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
      1,989 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      -125,550 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   35,395,924 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   7,281 [7351]
   Less: amount offset by customer owned securities
   0 [7352] 7,281 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   35,403,205 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   35,403,205 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States
      14,140,526 [7500]
   B. Other banks qualified under Regulation 30.7
      8,712,070 [7520] 22,852,596 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
      0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
      0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
      11,817,352 [7580]
   B. Securities
      0 [7590]
   C. Unrealized gain (loss) on open futures contracts
      0 [7600]
   D. Value of long option contracts
      0 [7610]
   E. Value of short option contracts
      0 [7615] 11,817,352 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
      5,417,031 [7640]
   B. Securities
      0 [7650]
   C. Amount due to (from) clearing organization - daily variation
      0 [7660]
   D. Value of long option contracts
      0 [7670]
   E. Value of short option contracts
      0 [7675] 5,417,031 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
      869,636 [7700]
   B. Securities
      0 [7710]
   C. Unrealized gain (loss) on open futures contracts
      0 [7720]
   D. Value of long option contracts
      1,989 [7730]
   E. Value of short option contracts
      -125,550 [7735] 746,075 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts
   40,833,054 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   5,429,849 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    4,429,849 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - 1,734,529,901 [7010]
   - **B. Securities (at market)**
     - 161,125,190 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 425,851,786 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 5,461,533,512 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -4,376,977,258 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 3,406,063,131 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 8,444,717 [7045]
   - Less: amount offset by customer securities
     - -3,975,818 [7047]
     - 4,468,899 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 3,410,532,030 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - 737,414,452 [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 545,671 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 478,086,272 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 849,704,121 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 156,251,861 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 138,708,344 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 5,461,533,512 [7132]
    - **B. Value of open short option contracts**
      - -4,376,977,258 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 4,327,658 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 3,449,594,633 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 39,062,603 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 20,062,603 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]