INITIAL
End Date: 3/9/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/10/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 0 [7305]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 9,233,729 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 3,213 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -21,567 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 39,580,994 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 7,989 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 7,989 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 39,588,983 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 39,588,983 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 17,198,023 [7500]
   - B. Other banks qualified under Regulation 30.7: 5,950,960 [7520] 23,148,983 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 15,443,322 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 15,443,322 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,358,608 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,358,608 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,291,610 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 3,213 [7730]
   - E. Value of short option contracts: -21,567 [7735] 1,273,256 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 45,224,169 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,635,186 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,635,186 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   A. Cash
   B. Securities (at market)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**

3. **Exchange traded options**
   A. Add market value of open option contracts purchased on a contract market
   B. Deduct market value of open option contracts granted (sold) on a contract market

4. **Net equity (deficit) (add lines 1, 2 and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer securities

6. **Amount required to be segregated (add lines 4 and 5)**

7. **Deposited in segregated funds bank accounts**
   A. Cash
   B. Securities representing investments of customers' funds (at market)
   C. Securities held for particular customers or option customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   A. Cash
   B. Securities representing investments of customers' funds (at market)
   C. Securities held for particular customers or option customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**

10. **Exchange traded options**
    A. Value of open long option contracts
    B. Value of open short option contracts

11. **Net equities with other FCMs**
    A. Net liquidating equity
    B. Securities representing investments of customers' funds (at market)
    C. Securities held for particular customers or option customers in lieu of cash (at market)

12. **Segregated funds on hand**

13. **Total amount in segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in segregation**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

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### Table

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
<td>Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>1,417,402,310</td>
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<td>B. Securities (at market)</td>
<td>162,543,397</td>
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<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>339,569,947</td>
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<td>Exchange traded options</td>
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<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>5,104,176,259</td>
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<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-4,042,463,341</td>
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<tr>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>2,981,228,572</td>
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<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>Less: amount offset by customer securities</td>
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<td>13,957,862</td>
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<td>Amount required to be segregated (add lines 4 and 5)</td>
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<td>Deposited in segregated funds bank accounts</td>
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<td>A. Cash</td>
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<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
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<td>Exchange traded options</td>
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<td>A. Value of open long option contracts</td>
<td>5,104,176,259</td>
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<tr>
<td>B. Value of open short option contracts</td>
<td>-4,042,463,341</td>
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<tr>
<td>Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
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<td>B. Securities representing investments of customers' funds (at market)</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
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<td>Segregated funds on hand</td>
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<td>Total amount in segregation (add lines 7 through 12)</td>
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<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
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<td>Management Target Amount for Excess funds in segregation</td>
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<tr>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>14,959,099</td>
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</tbody>
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INITIAL
End Date: 3/9/2022
Firm Name: WEDBUSH SECURITIES INC
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**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   
   A. **Cash**
   
   B. **Securities (at market)**

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   
   A. **Market value of open cleared swaps option contracts purchased**
   
   B. **Market value of open cleared swaps option contracts granted (sold)**

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   
   Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   
   A. **Cash**
   
   B. **Securities representing investments of cleared swaps customers' funds (at market)**
   
   C. **Securities held for particular cleared swaps customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   
   A. **Cash**
   
   B. **Securities representing investments of cleared swaps customers' funds (at market)**
   
   C. **Securities held for particular cleared swaps customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    
    A. **Value of open cleared swaps long option contracts**
    
    B. **Value of open cleared swaps short option contracts**

11. **Net equities with other FCMs**
    
    A. **Net liquidating equity**
    
    B. **Securities representing investments of cleared swaps customers' funds (at market)**
    
    C. **Securities held for particular cleared swaps customers in lieu of cash (at market)**

12. **Cleared swaps customer funds on hand**
    
    A. **Cash**
    
    B. **Securities representing investments of cleared swaps customers' funds (at market)**
    
    C. **Securities held for particular cleared swaps customers in lieu of cash (at market)**

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**