INITIAL
End Date: 3/10/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/11/2022
**Name of Company**

WEDBUSH SECURITIES INC

**Contact Name**

Kevin Wirth

**Contact Phone Number**

312-784-0475

**Contact Email Address**

kevin.wirth@wedbush.com

<table>
<thead>
<tr>
<th>FCM's Customer Segregated Funds Residual Interest Target (choose one):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Minimum dollar amount: ; or 19,000,000</td>
</tr>
<tr>
<td>b. Minimum percentage of customer segregated funds required:% ; or 0</td>
</tr>
<tr>
<td>c. Dollar amount range between:and; or 0 0</td>
</tr>
<tr>
<td>d. Percentage range of customer segregated funds required between:% and%. 0 0</td>
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</tbody>
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<tr>
<th>FCM's Customer Secured Amount Funds Residual Interest Target (choose one):</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Minimum dollar amount: ; or 1,000,000</td>
</tr>
<tr>
<td>b. Minimum percentage of customer secured funds required:% ; or 0</td>
</tr>
<tr>
<td>c. Dollar amount range between:and; or 0 0</td>
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<td>d. Percentage range of customer secured funds required between:% and%. 0 0</td>
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<th>FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):</th>
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<tr>
<td>a. Minimum dollar amount: ; or 0</td>
</tr>
<tr>
<td>b. Minimum percentage of cleared swaps customer collateral required:% ; or 0</td>
</tr>
<tr>
<td>c. Dollar amount range between:and; or 0 0</td>
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<td>d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0</td>
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Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   - A. Cash 0 [7305]  
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 10,812,019 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 1,865 [7335]  
   - b. Market value of open contracts granted (sold) on a foreign board of trade -31,555 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.) 40,087,783 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 5,470 [7351]  
   - Less: amount offset by customer owned securities 0 [7352] 5,470 [7354]  
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 40,093,253 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 40,093,253 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States 18,017,372 [7500]  
   - B. Other banks qualified under Regulation 30.7 5,930,118 [7520] 23,947,490 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 15,408,355 [7580]  
   - B. Securities 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]  
   - D. Value of long option contracts 0 [7610]  
   - E. Value of short option contracts 0 [7615] 15,408,355 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 5,623,763 [7640]  
   - B. Securities 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]  
   - D. Value of long option contracts 0 [7670]  
   - E. Value of short option contracts 0 [7675] 5,623,763 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 856,906 [7700]  
   - B. Securities 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]  
   - D. Value of long option contracts 1,865 [7730]  
   - E. Value of short option contracts -31,555 [7735] 827,216 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]  
7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 45,806,824 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,713,571 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,713,571 [7785]
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,419,398,870
   - B. Securities (at market): 159,144,897

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 387,739,802

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 5,016,506,354
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,017,993,134

4. Net equity (deficit) (add lines 1, 2 and 3): 2,964,796,789

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 6,711,194
   - Less: amount offset by customer securities: -5,137,825
   - 1,573,369

6. Amount required to be segregated (add lines 4 and 5): 2,966,370,158

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: 566,333,106
   - B. Securities representing investments of customers' funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 542,255

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 486,981,223
   - B. Securities representing investments of customers' funds (at market): 749,667,891
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 154,153,361

9. Net settlement from (to) derivatives clearing organizations of contract markets: 49,540,800

10. Exchange traded options
    - A. Value of open long option contracts: 5,016,506,354
    - B. Value of open short option contracts: -4,017,993,134

11. Net equities with other FCMs
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. Segregated funds on hand: 4,449,281

13. Total amount in segregation (add lines 7 through 12): 3,010,181,137

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 43,810,979

15. Management Target Amount for Excess funds in segregation: 19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 24,810,979
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUND IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]