Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0 [7305]**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: **25,470,062 [7315]**
   - B. Securities (at market): **0 [7317]**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: **11,006,832 [7325]**

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: **270 [7335]**
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **-38,248 [7337]**

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: **36,438,916 [7345]**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: **217,392 [7351]**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: **36,656,308 [7355]**

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: **36,656,308 [7360]**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: **15,076,990 [7500]**
   - B. Other banks qualified under Regulation 30.7: **5,914,188 [7520]**

2. **Securities**
   - A. In safekeeping with banks located in the United States: **0 [7540]**
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0 [7560]**

3. **Equities with registered futures commission merchants**
   - A. Cash: **14,780,192 [7580]**
   - B. Securities: **0 [7590]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7600]**
   - D. Value of long option contracts: **0 [7610]**
   - E. Value of short option contracts: **0 [7615]**

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: **5,634,447 [7640]**
   - B. Securities: **0 [7650]**
   - C. Amount due to (from) clearing organization - daily variation: **0 [7660]**
   - D. Value of long option contracts: **0 [7670]**
   - E. Value of short option contracts: **0 [7675]**

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: **892,151 [7700]**
   - B. Securities: **0 [7710]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7720]**
   - D. Value of long option contracts: **270 [7730]**
   - E. Value of short option contracts: **-38,248 [7735]**

6. **Amounts with other depositories designated by a foreign board of trade**: **0 [7760]**

7. **Segregated funds on hand**: **0 [7765]**

8. **Total funds in separate section 30.7 accounts**: **42,259,990 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: **5,603,682 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: **4,603,682 [7785]**
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - A. Cash  1,132,732,143 [7010]
   - B. Securities (at market)  159,180,268 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   462,565,682 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  5,120,786,421 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -4,096,828,705 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   2,778,435,809 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   10,956,697 [7045]
   Less: amount offset by customer securities -9,506,525 [7047]  1,450,172 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   2,779,885,981 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash  442,555,830 [7070]
   - B. Securities representing investments of customers’ funds (at market)  0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  525,447 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  420,459,220 [7100]
   - B. Securities representing investments of customers’ funds (at market)  749,661,876 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  154,153,361 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   31,186,653 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts  5,120,786,421 [7132]
    - B. Value of open short option contracts -4,096,828,705 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity  0 [7140]
    - B. Securities representing investments of customers’ funds (at market)  0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  0 [7170]

12. **Segregated funds on hand**
    4,501,460 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    2,827,001,563 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    47,115,582 [7190]

15. **Management Target Amount for Excess funds in segregation**
    19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    28,115,582 [7198]
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   - Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]