INITIAL
End Date: 3/14/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/15/2022
Default FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 or 19,000,000
   b. Minimum percentage of customer segregated funds required: 0% or 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer segregated funds required between: 0% and 0%

Default FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 or 1,000,000
   b. Minimum percentage of customer secured funds required: 0% or 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer secured funds required between: 0% and 0%

Default FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 or 0
   b. Minimum percentage of cleared swaps customer collateral required: 0% or 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 24,431,529 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 11,230,739 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 156 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -14,958 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 35,647,466 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [7352] 5,811 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 35,653,277 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 35,653,277 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 14,277,844 [7500]
   - B. Other banks qualified under Regulation 30.7: 5,917,952 [7520] 20,195,796 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 14,876,753 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 14,876,753 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,625,657 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,625,657 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 890,196 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 156 [7730]
   - E. Value of short option contracts: -14,958 [7735] 875,394 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 41,573,600 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 5,920,323 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 4,920,323 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash: \(1,146,666,795\) [7010]
   - B. Securities (at market): \(156,880,268\) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: \(398,424,592\) [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \(4,766,447,834\) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-3,691,049,364\) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): \(2,777,370,125\) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \(11,695,208\) [7045]
   - Less: amount offset by customer securities: \(-10,242,601\) [7047]
   - Net: \(1,452,607\) [7050]

6. Amount required to be segregated (add lines 4 and 5): \(2,778,822,732\) [7060]

7. Deposits in segregated funds bank accounts
   - A. Cash: \(443,324,947\) [7070]
   - B. Securities representing investments of customers' funds (at market): \(0\) [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(525,447\) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \(454,051,926\) [7100]
   - B. Securities representing investments of customers' funds (at market): \(749,651,689\) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(151,853,361\) [7120]


10. Exchange traded options
    - A. Value of open long option contracts: \(4,766,447,834\) [7132]
    - B. Value of open short option contracts: \(-3,691,049,364\) [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: \(0\) [7140]
    - B. Securities representing investments of customers' funds (at market): \(0\) [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\) [7170]

12. Segregated funds on hand: \(4,501,460\) [7150]

13. Total amount in segregation (add lines 7 through 12): \(2,827,287,282\) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): \(48,464,550\) [7190]

15. Management Target Amount for Excess funds in segregation: \(19,000,000\) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: \(29,464,550\) [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0
   - B. Securities (at market): 0

2. Net unrealized profit (loss) in open cleared swaps: 0

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. Net Equity (deficit) (add lines 1, 2, and 3): 0

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0
   - Less: amount offset by customer owned securities: 0

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. Net settlement from (to) derivatives clearing organizations: 0

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. Net equities with other FCMs
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0