INITIAL
End Date: 3/15/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/16/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- **Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder**: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 24,607,906 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 11,001,778 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: 102 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: -9,900 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 35,599,886 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: 0 [7352] 3,823 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 35,603,709 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 35,603,709 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. **Banks located in the United States**: 15,048,356 [7500]
   - B. **Other banks qualified under Regulation 30.7**: 4,932,647 [7520] 19,981,003 [7530]

2. **Securities**
   - A. **In safekeeping with banks located in the United States**: 0 [7540]
   - B. **In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. **Cash**: 14,989,348 [7580]
   - B. **Securities**: 0 [7590]
   - C. **Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - D. **Value of long option contracts**: 0 [7610]
   - E. **Value of short option contracts**: 0 [7615] 14,989,348 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. **Cash**: 5,861,052 [7640]
   - B. **Securities**: 0 [7650]
   - C. **Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - D. **Value of long option contracts**: 0 [7670]
   - E. **Value of short option contracts**: 0 [7675] 5,861,052 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. **Cash**: 797,969 [7700]
   - B. **Securities**: 0 [7710]
   - C. **Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - D. **Value of long option contracts**: 102 [7730]
   - E. **Value of short option contracts**: -9,900 [7735] 788,171 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 41,619,574 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 6,015,865 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 5,015,865 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,113,416,499 [7010]
   - B. Securities (at market): 157,747,393 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 342,397,548 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 4,675,793,510 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,582,198,393 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 2,707,156,557 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 7,850,924 [7045]
   - Less: amount offset by customer securities: -6,419,942 [7047] 1,430,982 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 2,708,587,539 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 430,551,439 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 526,355 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 154,119,700 [7100]
   - B. Securities representing investments of customers' funds (at market): 824,627,547 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 152,853,361 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 92,313,649 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 4,675,793,510 [7132]
    - B. Value of open short option contracts: -3,582,198,393 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 4,367,677 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 2,752,954,845 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 44,367,306 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 25,367,306 [7198]
**STMT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUND IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0

   Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0