INITIAL
End Date:3/22/2022
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:3/23/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash: 28,001,637 [7315]  
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,359,141 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: -27,135 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3): 30,333,643 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 7,664 [7351]  
   Less: amount offset by customer owned securities: 0 [7352]  
   7,664 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 30,341,307 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 30,341,307 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States: 14,654,238 [7500]  
   B. Other banks qualified under Regulation 30.7: 5,393,498 [7520]  
   **20,047,736** [7530]

2. Securities  
   A. In safekeeping with banks located in the United States: 0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]  
   0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash: 10,154,482 [7580]  
   B. Securities: 0 [7590]  
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   D. Value of long option contracts: 0 [7610]  
   E. Value of short option contracts: 0 [7615]  
   **10,154,482** [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash: 5,917,374 [7640]  
   B. Securities: 0 [7650]  
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   D. Value of long option contracts: 0 [7670]  
   E. Value of short option contracts: 0 [7675]  
   **5,917,374** [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash: 906,640 [7700]  
   B. Securities: 0 [7710]  
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   D. Value of long option contracts: 0 [7730]  
   E. Value of short option contracts: -27,135 [7735]  
   879,505 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]  
7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 36,999,097 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 6,657,790 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 5,657,790 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: \(885,176,967\)
   - B. Securities (at market): \(163,107,899\)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(455,915,672\)

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \(5,386,828,215\)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-4,177,342,707\)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(2,713,686,046\)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(3,300,438\)
   - Less: amount offset by customer securities: \(-1,994,660\)
   - \(1,305,778\)

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(2,714,991,824\)

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \(380,201,688\)
   - B. Securities representing investments of customers' funds (at market): \(0\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(550,060\)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \(239,701,708\)
   - B. Securities representing investments of customers' funds (at market): \(674,618,599\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(158,296,845\)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(97,396,955\)

10. **Exchange traded options**
    - A. Value of open long option contracts: \(5,386,828,215\)
    - B. Value of open short option contracts: \(-4,177,342,707\)

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \(0\)
    - B. Securities representing investments of customers' funds (at market): \(0\)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\)

12. **Segregated funds on hand**
    - \(4,260,994\)

13. **Total amount in segregation (add lines 7 through 12)**
    - \(2,764,512,357\)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(49,520,533\)

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \(30,520,533\)
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0</td>
</tr>
<tr>
<td>3. Cleared swaps options</td>
<td></td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0</td>
</tr>
<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0</td>
</tr>
<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8570]</td>
</tr>
<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8590]</td>
</tr>
<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
<tr>
<td>7. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0</td>
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<td>8. Net settlement from (to) derivatives clearing organizations</td>
<td>0</td>
</tr>
<tr>
<td>9. Cleared swaps options</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>B. Value of open cleared swaps short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>10. Net equities with other FCMs</td>
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</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>0</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
<tr>
<td>11. Cleared swaps customer funds on hand</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
<tr>
<td>12. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
<td>0 [8720]</td>
</tr>
<tr>
<td>13. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
<td>0 [8730]</td>
</tr>
<tr>
<td>14. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
<td>0 [8760]</td>
</tr>
<tr>
<td>15. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
<td>0 [8770]</td>
</tr>
</tbody>
</table>