INITIAL
End Date: 3/25/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/28/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   - **Cash**: 29,558,947 [7315]
   - **Securities (at market)**: 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 4,061,173 [7325]

3. Exchange traded options
   
   - Market value of open option contracts purchased on a foreign board of trade: 19,950 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade: -55,524 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.): 33,584,546 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 1,948 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 33,586,494 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 33,586,494 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   
   - Banks located in the United States: 14,997,897 [7500]
   - Other banks qualified under Regulation 30.7: 5,396,269 [7520] 20,394,166 [7530]

2. Securities
   
   - In safekeeping with banks located in the United States: 0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   
   - Cash: 11,703,342 [7580]
   - Securities: 0 [7590]
   - Unrealized gain (loss) on open futures contracts: 0 [7600]
   - Value of long option contracts: 0 [7610]
   - Value of short option contracts: 0 [7615] 11,703,342 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   - Cash: 7,553,124 [7640]
   - Securities: 0 [7650]
   - Amount due to (from) clearing organization - daily variation: 0 [7660]
   - Value of long option contracts: 0 [7670]
   - Value of short option contracts: 0 [7675] 7,553,124 [7680]

5. Amounts held by members of foreign boards of trade
   
   - Cash: 802,928 [7700]
   - Securities: 0 [7710]
   - Unrealized gain (loss) on open futures contracts: 0 [7720]
   - Value of long option contracts: 19,950 [7730]
   - Value of short option contracts: -55,524 [7735] 767,354 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 40,417,986 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 6,831,492 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 5,831,492 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash** 696,486,580 [7010]
   - **B. Securities (at market)** 159,783,877 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 836,948,179 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 5,909,841,227 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -4,616,079,612 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 2,986,980,251 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 4,131,470 [7045]
   - Less: amount offset by customer securities -2,805,806 [7047] 1,325,664 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 2,988,305,915 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - **A. Cash** 546,321,992 [7070]
     - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
     - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 550,060 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 232,381,662 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 724,571,407 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 154,972,823 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 83,591,719 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 5,909,841,227 [7132]
    - **B. Value of open short option contracts** -4,616,079,612 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 4,260,994 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 3,040,412,272 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 52,106,357 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess** 33,106,357 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - **Funds in Cleared Swaps Customer Segregated Accounts**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]