INITIAL
End Date: 3/28/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/29/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 28,824,303 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 4,118,972 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 17,284 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -52,980 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 32,907,579 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 12,496 [7351]
Less: amount offset by customer owned securities 0 [7352] 12,496 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 32,920,075 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 32,920,075 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 14,998,449 [7500]
   B. Other banks qualified under Regulation 30.7 5,381,435 [7520] 20,379,884 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 11,511,124 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 11,511,124 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 8,007,252 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 8,007,252 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash -3,819 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 17,284 [7730]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 39,858,745 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 6,938,670 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 5,938,670 [7785]
**Daily Segregation - Segregation Statement**

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 670,803,336 [7010]
   - B. Securities (at market): 168,820,540 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 752,678,866 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 5,519,851,258 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,247,174,496 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 2,864,979,524 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 4,275,753 [7045]
   - Less: amount offset by customer securities: -2,947,412 [7047]
   - 1,328,341 [7050]

6. Amount required to be segregated (add lines 4 and 5): 2,866,307,865 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - A. Cash: 546,209,555 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 567,466 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 270,413,512 [7100]
   - B. Securities representing investments of customers' funds (at market): 724,601,814 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 163,857,329 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -63,480,332 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 5,519,851,258 [7132]
    - B. Value of open short option contracts: -4,247,174,496 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 4,395,745 [7150]

13. Total amount in segregation (add lines 7 through 12): 2,919,241,851 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 52,933,986 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 33,933,986 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open cleared swaps
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. Net Equity (deficit) (add lines 1, 2, and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in cleared swaps segregated accounts
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

### Table

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Net ledger balance - Cash</td>
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<tr>
<td>Net ledger balance - Securities (at market)</td>
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