INITIAL
End Date: 4/1/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/4/2022
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents CH
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

#### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
- **A. Cash**: 30,334,364 [7315]
- **B. Securities (at market)**: 0 [7317]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 3,219,055 [7325]

#### 3. Exchange traded options
- **a. Market value of open option contracts purchased on a foreign board of trade**: 48,232 [7335]
- **b. Market value of open contracts granted (sold) on a foreign board of trade**: -12,742 [7337]

#### 4. Net equity (deficit) (add lines 1. 2. and 3.)
- **33,588,909** [7345]

#### 5. Account liquidating to a deficit and account with a debit balances - gross amount: 5,072 [7351]
- Less: amount offset by customer owned securities: 0 [7352] 5,072 [7354]

#### 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
- **33,593,981** [7355]

#### 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
- **33,593,981** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

#### 1. Cash in banks
- **A. Banks located in the United States**: 16,663,567 [7500]
- **B. Other banks qualified under Regulation 30.7**: 7,404,099 [7520] 24,067,666 [7530]

#### 2. Securities
- **A. In safekeeping with banks located in the United States**: 0 [7540]
- **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

#### 3. Equities with registered futures commission merchants
- **A. Cash**: 9,550,986 [7580]
- **B. Securities**: 0 [7590]
- **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
- **D. Value of long option contracts**: 0 [7610]
- **E. Value of short option contracts**: 0 [7615] 9,550,986 [7620]

#### 4. Amounts held by clearing organizations of foreign boards of trade
- **A. Cash**: 7,152,305 [7640]
- **B. Securities**: 0 [7650]
- **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
- **D. Value of long option contracts**: 0 [7670]
- **E. Value of short option contracts**: 0 [7675] 7,152,305 [7680]

#### 5. Amounts held by members of foreign boards of trade
- **A. Cash**: 64,777 [7700]
- **B. Securities**: 0 [7710]
- **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
- **D. Value of long option contracts**: 48,232 [7730]
- **E. Value of short option contracts**: -12,742 [7735] 100,267 [7740]

#### 6. Amounts with other depositories designated by a foreign board of trade
- **0 [7760]

#### 7. Segregated funds on hand
- **0 [7765]

#### 8. Total funds in separate section 30.7 accounts
- **40,871,224** [7770]

#### 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
- **7,277,243** [7780]

#### 10. Management Target Amount for Excess funds in separate section 30.7 accounts
- **1,000,000** [7780]

#### 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
- **6,277,243** [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: 817,692,016 [7010]
   - **B. Securities (at market)**: 168,742,449 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 724,537,693 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 5,285,822,636 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -3,931,575,776 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 3,065,219,018 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 4,359,019 [7045]
   - Less: amount offset by customer securities: -3,018,146 [7047] 1,340,873 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 3,066,559,891 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**: 504,510,933 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 549,573 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 335,623,978 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 774,587,256 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 163,850,108 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -15,855,430 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 5,285,822,636 [7132]
    - **B. Value of open short option contracts**: -3,931,575,776 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 4,342,768 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 3,121,856,046 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 55,296,155 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 36,296,155 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0 [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8750]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]