INITIAL
End Date: 4/4/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/5/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash:** 30,004,067 [7315]
   - **B. Securities (at market):** 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 3,111,183 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 45,790 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -9,744 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 33,151,296 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 6,786 [7351]
   - Less: amount offset by customer owned securities: 0 [7352]
   - 6,786 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 33,158,082 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - 33,158,082 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - b. Other banks qualified under Regulation 30.7: 7,386,852 [7520]
     - 21,302,762 [7530]

2. Securities
   - a. In safekeeping with banks located in the United States: 0 [7540]
   - b. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - a. Cash: 10,367,031 [7580]
   - b. Securities: 0 [7590]
   - c. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - d. Value of long option contracts: 0 [7610]
   - e. Value of short option contracts: 0 [7615] 10,367,031 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - a. Cash: 7,222,971 [7640]
   - b. Securities: 0 [7650]
   - c. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - d. Value of long option contracts: 0 [7670]
   - e. Value of short option contracts: 0 [7675] 7,222,971 [7680]

5. Amounts held by members of foreign boards of trade
   - a. Cash: 64,062 [7700]
   - b. Securities: 0 [7710]
   - c. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - d. Value of long option contracts: 45,790 [7730]
   - e. Value of short option contracts: -9,744 [7735] 100,108 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 38,992,872 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 5,834,790 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,834,790 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 1,009,278,452
   - B. Securities (at market): 168,742,449

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 602,829,682

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 5,527,245,135
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,145,710,445

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 3,162,385,273

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 3,821,969
   - Less: amount offset by customer securities: -2,487,014
   - Net amount: 1,334,955

6. **Amount required to be segregated (add lines 4 and 5)**: 3,163,720,228

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 595,371,805
   - B. Securities representing investments of customers’ funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 549,573

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 199,695,310
   - B. Securities representing investments of customers’ funds (at market): 774,581,681
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 163,850,108

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 97,556,024

10. **Exchange traded options**
    - A. Value of open long option contracts: 5,527,245,135
    - B. Value of open short option contracts: -4,145,710,445

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers’ funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**: 4,342,768

13. **Total amount in segregation (add lines 7 through 12)**: 3,217,481,959

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 53,761,731

15. **Management Target Amount for Excess funds in segregation**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 34,761,731
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]