 FCMS Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCMS Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
**INITIAL**

**End Date:** 4/6/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 4/7/2022

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   **A. Cash**  
   27,138,398 [7315]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   2,885,776 [7325]

3. **Exchange traded options**
   
   **a. Market value of open option contracts purchased on a foreign board of trade**  
   42,508 [7335]

   **b. Market value of open contracts granted (sold) on a foreign board of trade**  
   -5,634 [7337]

   **4. Net equity (deficit) (add lines 1. 2. and 3.)**  
   30,061,048 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   10,304 [7351]

   **Less: amount offset by customer owned securities**  
   0 [7352] 10,304 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   30,071,352 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   30,071,352 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   
   **A. Banks located in the United States**  
   9,001,083 [7500]

   **B. Other banks qualified under Regulation 30.7**  
   8,396,335 [7520] 17,397,418 [7530]

2. **Securities**
   
   **A. In safekeeping with banks located in the United States**  
   0 [7540]

   **B. In safekeeping with other banks qualified under Regulation 30.7**  
   0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   
   **A. Cash**  
   10,562,057 [7580]

   **B. Securities**  
   0 [7590]

   **C. Unrealized gain (loss) on open futures contracts**  
   0 [7600]

   **D. Value of long option contracts**  
   0 [7610]

   **E. Value of short option contracts**  
   0 [7615] 10,562,057 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   **A. Cash**  
   7,088,963 [7640]

   **B. Securities**  
   0 [7650]

   **C. Amount due to (from) clearing organization - daily variation**  
   0 [7660]

   **D. Value of long option contracts**  
   0 [7670]

   **E. Value of short option contracts**  
   0 [7675] 7,088,963 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   **A. Cash**  
   191,399 [7700]

   **B. Securities**  
   0 [7710]

   **C. Unrealized gain (loss) on open futures contracts**  
   0 [7720]

   **D. Value of long option contracts**  
   42,508 [7730]

   **E. Value of short option contracts**  
   -5,634 [7735] 228,273 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   0 [7760]

7. **Segregated funds on hand**  
   0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   35,276,711 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   5,205,359 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    4,205,359 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: \( 981,427,209 \) [7010]
   - **Securities (at market)**: \( 167,673,566 \) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: \( 939,609,198 \) [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: \( 5,719,099,953 \) [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: \( -4,189,810,968 \) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: \( 3,617,998,958 \) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: \( 5,752,760 \) [7045]
   - Less: amount offset by customer securities: \( -3,624,315 \) [7047] \( 2,128,445 \) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: \( 3,620,127,403 \) [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: \( 696,913,502 \) [7070]
   - **Securities representing investments of customers' funds (at market)**: \( 0 \) [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: \( 553,204 \) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: \( 509,122,767 \) [7100]
   - **Securities representing investments of customers' funds (at market)**: \( 824,556,885 \) [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: \( 162,850,108 \) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: \( -56,335,426 \) [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: \( 5,719,099,953 \) [7132]
    - **Value of open short option contracts**: \( -4,189,810,968 \) [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: \( 0 \) [7140]
    - **Securities representing investments of customers' funds (at market)**: \( 0 \) [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: \( 0 \) [7170]

12. **Segregated funds on hand**: \( 4,270,254 \) [7150]

13. **Total amount in segregation (add lines 7 through 12)**: \( 3,671,220,279 \) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: \( 51,092,876 \) [7190]

15. **Management Target Amount for Excess funds in segregation**: \( 19,000,000 \) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: \( 32,092,876 \) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]