INITIAL
End Date: 4/14/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/15/2022
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Daily Segregation - Cover Page

Name of Company

Contact Name

Kevin Wirth

Contact Phone Number

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $ ; or $19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0%
c. Dollar amount range between: and $0

d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $ ; or $1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0%
c. Dollar amount range between: and $0

d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $ ; or $0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
c. Dollar amount range between: and $0

d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0** [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash **31,677,612** [7315]
   - B. Securities (at market) **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **1,841,452** [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade **76,059** [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade **0** [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) **33,595,123** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount **10,393** [7351]
   Less: amount offset by customer owned securities **0** [7352] **10,393** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **33,605,516** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **33,605,516** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States **14,481,994** [7500]
   - B. Other banks qualified under Regulation 30.7 **6,382,084** [7520] **20,864,078** [7530]

2. Securities
   - A. In safekeeping with banks located in the United States **0** [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **0** [7570]

3. Equities with registered futures commission merchants
   - A. Cash **9,965,575** [7580]
   - B. Securities **0** [7590]
   - C. Unrealized gain (loss) on open futures contracts **0** [7600]
   - D. Value of long option contracts **0** [7610]
   - E. Value of short option contracts **0** [7615] **9,965,575** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash **7,891,920** [7640]
   - B. Securities **0** [7650]
   - C. Amount due to (from) clearing organization - daily variation **0** [7660]
   - D. Value of long option contracts **0** [7670]
   - E. Value of short option contracts **0** [7675] **7,891,920** [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash **61,119** [7700]
   - B. Securities **0** [7710]
   - C. Unrealized gain (loss) on open futures contracts **0** [7720]
   - D. Value of long option contracts **76,059** [7730]
   - E. Value of short option contracts **0** [7735] **137,178** [7740]

6. Amounts with other depositories designated by a foreign board of trade **0** [7760]

7. Segregated funds on hand **0** [7765]

8. Total funds in separate section 30.7 accounts **38,885,751** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **5,253,235** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **4,253,235** [7785]
### SEGREGATION REQUIREMENTS

#### 1. Net ledger balance
- **A. Cash**: $1,079,117,135 [7010]
- **B. Securities (at market)**: $142,705,939 [7020]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market
- **Value**: $1,803,794,716 [7030]

#### 3. Exchange traded options
- **A. Add market value of open option contracts purchased on a contract market**: $6,540,615,387 [7032]
- **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-4,793,106,209 [7033]

#### 4. Net equity (deficit) (add lines 1, 2 and 3)
- **Value**: $4,773,126,968 [7040]

#### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
- **Value**: $5,129,784 [7045]
- **Less: amount offset by customer securities**: $-4,672,255 [7047] $457,529 [7050]

#### 6. Amount required to be segregated (add lines 4 and 5)
- **Value**: $4,773,584,497 [7060]

#### 7. Deposited in segregated funds bank accounts
- **A. Cash**: $848,819,207 [7070]
- **B. Securities representing investments of customers’ funds (at market)**: $0 [7080]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $550,019 [7090]

#### 8. Margins on deposit with derivatives clearing organizations of contract markets
- **A. Cash**: $900,967,768 [7100]
- **B. Securities representing investments of customers’ funds (at market)**: $974,366,573 [7110]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $137,689,421 [7120]

#### 9. Net settlement from (to) derivatives clearing organizations of contract markets
- **Value**: $211,527,274 [7130]

#### 10. Exchange traded options
- **A. Value of open long option contracts**: $6,540,615,387 [7132]
- **B. Value of open short option contracts**: $-4,793,106,209 [7133]

#### 11. Net equities with other FCMs
- **A. Net liquidating equity**: $0 [7140]
- **B. Securities representing investments of customers’ funds (at market)**: $0 [7160]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

#### 12. Segregated funds on hand
- **Value**: $4,466,499 [7150]

#### 13. Total amount in segregation (add lines 7 through 12)
- **Value**: $4,825,895,939 [7180]

#### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
- **Value**: $52,311,442 [7190]

#### 15. Management Target Amount for Excess funds in segregation
- **Value**: $19,000,000 [7194]

#### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount
- **Excess**: $33,311,442 [7198]
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: \[ \text{0} \] [8500]
   - B. Securities (at market): \[ \text{0} \] [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: \[ \text{0} \] [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: \[ \text{0} \] [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): \[ \text{0} \] [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: \[ \text{0} \] [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: \[ \text{0} \] [8560]
   - Less: amount offset by customer owned securities: \[ \text{0} \] [8570] \[ \text{0} \] [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: \[ \text{0} \] [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: \[ \text{0} \] [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \[ \text{0} \] [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[ \text{0} \] [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: \[ \text{0} \] [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \[ \text{0} \] [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[ \text{0} \] [8650]

9. **Net settlement from (to) derivatives clearing organizations**: \[ \text{0} \] [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: \[ \text{0} \] [8670]
    - B. Value of open cleared swaps short option contracts: \[ \text{0} \] [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \[ \text{0} \] [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \[ \text{0} \] [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[ \text{0} \] [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: \[ \text{0} \]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \[ \text{0} \]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[ \text{0} \] [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: \[ \text{0} \] [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: \[ \text{0} \] [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: \[ \text{0} \] [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: \[ \text{0} \] [8770]