INITIAL
End Date: 4/18/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/19/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $0 ; or 19,000,000
b. Minimum percentage of customer segregated funds required:%; or 0%
c. Dollar amount range between: and; or 0 0%
d. Percentage range of customer segregated funds required between:% and%: 0 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $0 ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0%
c. Dollar amount range between: and; or 0 0%
d. Percentage range of customer secured funds required between:% and%: 0 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0 ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0%
c. Dollar amount range between: and; or 0 0%
d. Percentage range of cleared swaps customer collateral required between:% and%: 0 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 24,437,467 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 10,620,453 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 76,059 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 35,133,979 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 4,742 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 4,742 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 35,138,721 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.:
   - 35,138,721 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 16,044,325 [7500]
   - B. Other banks qualified under Regulation 30.7: 6,368,279 [7520] 22,412,604 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 9,949,413 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 9,949,413 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 7,877,450 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 7,877,450 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 61,680 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 76,059 [7730]
   - E. Value of short option contracts: 0 [7735] 137,739 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 40,377,206 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8):
   - 5,238,485 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:
    - 4,238,485 [7785]
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash**
     - 1,399,669,272 [7010]
   - **B. Securities (at market)**
     - 158,617,306 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - 1,973,855,726 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**
     - 6,903,048,080 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,154,400,048 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - 5,280,790,336 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - 4,474,367 [7045]
   - Less: amount offset by customer securities
     - -3,982,831 [7047] 491,536 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - 5,281,281,872 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - **A. Cash**
     - 855,443,579 [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 546,353 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**
     - 1,046,605,236 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 1,074,211,547 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 153,646,421 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - 450,778,849 [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**
        - 6,903,048,080 [7132]
    - **B. Value of open short option contracts**
        - -5,154,400,048 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**
        - 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**
        - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
        - 0 [7170]

12. Segregated funds on hand
    - 4,424,532 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - 5,334,304,549 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 53,022,677 [7190]

15. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
      - 34,022,677 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]