INITIAL
End Date: 4/19/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/20/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 33,033,525 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 2,601,323 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 79,111 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 35,713,959 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 7,624 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 35,721,583 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 35,721,583 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 16,037,201 [7500]
   - B. Other banks qualified under Regulation 30.7: 6,364,790 [7520] 22,401,991 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 10,249,883 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 10,249,883 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 8,219,761 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 8,219,761 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 61,633 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 79,111 [7730]
   - E. Value of short option contracts: 0 [7735] 140,744 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 41,012,379 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 5,290,796 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 4,290,796 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - \(1,691,952,948\)
   - **B. Securities (at market)**
     - \(167,882,652\)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(1,327,187,830\)

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - \(6,566,884,245\)
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - \(-4,851,583,939\)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(4,902,323,736\)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(4,020,403\)
   - Less: amount offset by customer securities
     - \(-3,530,885\)
     - \(489,518\)

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(4,902,813,254\)

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - \(909,951,222\)
   - **B. Securities representing investments of customers’ funds (at market)**
     - \(0\)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \(546,933\)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - \(1,314,749,885\)
   - **B. Securities representing investments of customers’ funds (at market)**
     - \(1,174,157,084\)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \(162,853,921\)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(-331,326,715\)

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - \(6,566,884,245\)
    - **B. Value of open short option contracts**
      - \(-4,851,583,939\)

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - \(0\)
    - **B. Securities representing investments of customers’ funds (at market)**
      - \(0\)
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - \(0\)

12. **Segregated funds on hand**
    - \(4,481,798\)

13. **Total amount in segregation (add lines 7 through 12)**
    - \(4,950,714,434\)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(47,901,180\)

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - \(28,901,180\)

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## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0
   - Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0