INITIAL
End Date: 4/21/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/22/2022
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Daily Segregation - Cover Page

Name of Company

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 33,565,239 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,466,731 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 72,183 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 35,104,153 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,391 [7351]
   Less: amount offset by customer owned securities 0 [7352]
   6,391 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 35,110,544 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 35,110,544 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 17,112,542 [7500]
   B. Other banks qualified under Regulation 30.7 4,533,228 [7520] 21,645,770 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 10,249,799 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 10,249,799 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 8,614,083 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 8,614,083 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 63,091 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 72,183 [7730]
   E. Value of short option contracts 0 [7735] 135,274 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 40,644,926 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,534,382 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,534,382 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash
     - 1,686,264,110 [7010]
   - B. Securities (at market)
     - 167,764,647 [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - 1,019,870,030 [7030]
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market
     - 6,592,605,885 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - -5,028,299,826 [7033]
4. Net equity (deficit) (add lines 1, 2 and 3)
   - 4,438,204,846 [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - 3,665,804 [7045]
   - Less: amount offset by customer securities
     - -3,166,710 [7047]
     - 499,094 [7050]
6. Amount required to be segregated (add lines 4 and 5)
   - 4,438,703,940 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash
     - 868,278,725 [7070]
   - B. Securities representing investments of customers’ funds (at market)
     - 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 548,220 [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash
     - 795,513,731 [7100]
   - B. Securities representing investments of customers’ funds (at market)
     - 1,174,184,035 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 162,853,121 [7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets
   - -81,586,404 [7130]
10. Exchange traded options
    - A. Value of open long option contracts
        - 6,592,605,885 [7132]
    - B. Value of open short option contracts
        - -5,028,299,826 [7133]
11. Net equities with other FCMs
    - A. Net liquidating equity
        - 0 [7140]
    - B. Securities representing investments of customers’ funds (at market)
        - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
        - 0 [7170]
12. Segregated funds on hand
    - 4,363,306 [7150]
13. Total amount in segregation (add lines 7 through 12)
    - 4,488,460,793 [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - 49,756,853 [7190]
15. Management Target Amount for Excess funds in segregation
    - 19,000,000 [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess
      - 30,756,853 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash $\text{0} \ [8500]$
   - B. Securities (at market) $\text{0} \ [8510]$

2. **Net unrealized profit (loss) in open cleared swaps** $\text{0} \ [8520]$

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased $\text{0} \ [8530]$
   - B. Market value of open cleared swaps option contracts granted (sold) $\text{0} \ [8540]$
   - C. Net Equity (deficit) (add lines 1, 2, and 3) $\text{0} \ [8550]$

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** $\text{0} \ [8560]$

5. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** $\text{0} \ [8570]$

Funds in Cleared Swaps Customer Segregated Accounts

6. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash $\text{0} \ [8600]$
   - B. Securities representing investments of cleared swaps customers' funds (at market) $\text{0} \ [8610]$
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) $\text{0} \ [8620]$

7. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash $\text{0} \ [8630]$
   - B. Securities representing investments of cleared swaps customers' funds (at market) $\text{0} \ [8640]$
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) $\text{0} \ [8650]$

8. **Net settlement from (to) derivatives clearing organizations** $\text{0} \ [8660]$

9. **Cleared swaps options**
   - A. Value of open cleared swaps long option contracts $\text{0} \ [8670]$
   - B. Value of open cleared swaps short option contracts $\text{0} \ [8680]$

10. **Net equities with other FCMs**
    - A. Net liquidating equity $\text{0} \ [8690]$
    - B. Securities representing investments of cleared swaps customers' funds (at market) $\text{0} \ [8700]$
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) $\text{0} \ [8710]$

11. **Cleared swaps customer funds on hand**
    - A. Cash $\text{0}$
    - B. Securities representing investments of cleared swaps customers' funds (at market) $\text{0}$
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) $\text{0} \ [8715]$

12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** $\text{0} \ [8720]$

13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** $\text{0} \ [8730]$

14. **Management Target Amount for Excess funds in cleared swaps segregated accounts** $\text{0} \ [8760]$

15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** $\text{0} \ [8770]$

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