INITIAL
End Date: 4/22/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/25/2022
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 33,944,484 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 2,012,034 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade** 79,873 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade** 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 36,036,391 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 13,536 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 13,536 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 36,049,927 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 36,049,927 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. **Banks located in the United States** 17,554,515 [7500]
   - B. **Other banks qualified under Regulation 30.7** 5,613,916 [7520] 23,168,431 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. **Cash** 10,383,153 [7580]
   - B. **Securities** 0 [7590]
   - C. **Unrealized gain (loss) on open futures contracts** 0 [7600]
   - D. **Value of long option contracts** 0 [7610]
   - E. **Value of short option contracts** 0 [7615] 10,383,153 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. **Cash** 7,943,074 [7640]
   - B. **Securities** 0 [7650]
   - C. **Amount due to (from) clearing organization - daily variation** 0 [7660]
   - D. **Value of long option contracts** 0 [7670]
   - E. **Value of short option contracts** 0 [7675] 7,943,074 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. **Cash** 60,750 [7700]
   - B. **Securities** 0 [7710]
   - C. **Unrealized gain (loss) on open futures contracts** 0 [7720]
   - D. **Value of long option contracts** 79,873 [7730]
   - E. **Value of short option contracts** 0 [7735] 140,623 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 41,635,281 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 5,585,354 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 4,585,354 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,754,917,428 [7010]
   B. Securities (at market) 170,158,230 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 574,966,598 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 5,927,816,012 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -4,541,516,764 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 3,886,341,504 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 4,768,315 [7045]
   Less: amount offset by customer securities -4,253,466 [7047] 514,849 [7050]

6. Amount required to be segregated (add lines 4 and 5) 3,886,856,353 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 827,264,976 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 530,067 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 647,675,880 [7100]
   B. Securities representing investments of customers' funds (at market) 1,174,193,438 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 165,338,340 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -268,084,713 [7130]

10. Exchange traded options
    A. Value of open long option contracts 5,927,816,012 [7132]
    B. Value of open short option contracts -4,541,516,764 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,289,823 [7150]

13. Total amount in segregation (add lines 7 through 12) 3,937,507,059 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 80,650,706 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 31,650,706 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEgregation REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]