INITIAL
End Date: 4/25/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/26/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer segregated funds required between:% and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer secured funds required between:% and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents CH
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 34,117,812 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,310,493 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 103,350 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 35,531,665 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 6,187 [7351]
   Less: amount offset by customer owned securities 0 [7352] 6,187 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 35,537,842 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 35,537,842 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 17,104,728 [7500]
   B. Other banks qualified under Regulation 30.7 5,577,703 [7520] 22,682,431 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 10,059,110 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 10,059,110 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 8,291,206 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 8,291,206 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 62,875 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 103,350 [7730]
   E. Value of short option contracts 0 [7735] 166,225 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 41,198,972 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8) 5,661,130 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,661,130 [7785]
### Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: \[1,797,851,506\] [7010]
   - B. Securities (at market): \[172,583,066\] [7020]
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \[544,501,403\] [7030]
3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \[5,826,840,809\] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \[-4,411,897,464\] [7033]
4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \[3,929,879,320\] [7040]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \[4,190,901\] [7045]
6. **Amount required to be segregated (add lines 4 and 5)**
   - \[3,930,419,562\] [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \[690,740,269\] [7070]
   - B. Securities representing investments of customers' funds (at market): \[0\] [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[517,194\] [7090]
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \[546,117,066\] [7100]
   - B. Securities representing investments of customers' funds (at market): \[1,174,273,886\] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[167,827,086\] [7120]
9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \[-16,562,890\] [7130]
10. **Exchange traded options**
    - A. Value of open long option contracts: \[5,826,840,809\] [7132]
    - B. Value of open short option contracts: \[-4,411,897,464\] [7133]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: \[0\] [7140]
    - B. Securities representing investments of customers' funds (at market): \[0\] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \[0\] [7170]
12. **Segregated funds on hand**
    - \[4,238,786\] [7150]
13. **Total amount in segregation (add lines 7 through 12)**
    - \[3,982,094,742\] [7180]
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \[51,675,180\] [7190]
15. **Management Target Amount for Excess funds in segregation**
    - \[19,000,000\] [7194]
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \[32,675,180\] [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount:
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]