INITIAL
End Date: 4/29/2022
Firm Name: WEIBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/2/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of customer segregated funds required between: % and %.

   Name of Company: WEDBUSH SECURITIES INC
   Contact Name: Kevin Wirth
   Contact Phone Number: 312-784-0475
   Contact Email Address: kevin.wirth@wedbush.com

   Minimum dollar amount: 19,000,000
   Minimum percentage of customer segregated funds required: 0%
   Dollar amount range between: 0 and 0
   Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of customer secured funds required between: % and %.

   Minimum dollar amount: 1,000,000
   Minimum percentage of customer secured funds required: 0%
   Dollar amount range between: 0 and 0
   Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of cleared swaps customer collateral required between: % and %.

   Minimum dollar amount: 0
   Minimum percentage of cleared swaps customer collateral required: 0%
   Dollar amount range between: 0 and 0
   Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 5/2/2022

### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash: $35,132,432 [7315]  
   B. Securities (at market): $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: $-60,640 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: $13,251 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade: $0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: $12,611 [7351]  
   Less: amount offset by customer owned securities: $0 [7352] $12,611 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): $35,097,654 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   $35,097,654 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States: $13,980,025 [7500]  
   B. Other banks qualified under Regulation 30.7: $7,576,903 [7520] $21,556,928 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States: $0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560] $0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash: $10,379,705 [7580]  
   B. Securities: $0 [7590]  
   C. Unrealized gain (loss) on open futures contracts: $0 [7600]  
   D. Value of long option contracts: $0 [7610]  
   E. Value of short option contracts: $0 [7615] $10,379,705 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash: $7,937,648 [7640]  
   B. Securities: $0 [7650]  
   C. Amount due to (from) clearing organization - daily variation: $0 [7660]  
   D. Value of long option contracts: $0 [7670]  
   E. Value of short option contracts: $0 [7675] $7,937,648 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash: $140,457 [7700]  
   B. Securities: $0 [7710]  
   C. Unrealized gain (loss) on open futures contracts: $0 [7720]  
   D. Value of long option contracts: $13,251 [7730]  
   E. Value of short option contracts: $0 [7735] $153,708 [7740]

6. Amounts with other depositories designated by a foreign board of trade: $0 [7760]  

7. Segregated funds on hand: $0 [7765]

8. Total funds in separate section 30.7 accounts: $40,027,989 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): $4,930,335 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: $1,000,000 [7780]  

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: $3,930,335 [7785]
**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**
   - **Securities (at market)**

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**

3. **Exchange traded options**
   - **Cash**
   - **Securities (at market)**

4. **Net equity (deficit) (add lines 1, 2 and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities

6. **Amount required to be segregated (add lines 4 and 5)**

7. **Deposited in segregated funds bank accounts**
   - **Cash**
   - **Securities representing investments of customers' funds (at market)**
   - **Securities held for particular customers or option customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**
   - **Securities representing investments of customers' funds (at market)**
   - **Securities held for particular customers or option customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations of contract markets**

10. **Exchange traded options**
    - **Value of open long option contracts**
    - **Value of open short option contracts**

11. **Net equities with other FCMs**
    - **Net liquidating equity**
    - **Securities representing investments of customers' funds (at market)**
    - **Securities held for particular customers or option customers in lieu of cash (at market)**

12. **Segregated funds on hand**

13. **Total amount in segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in segregation**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]