INITIAL
End Date: 5/4/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/5/2022
WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between:and; or 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between:and; or 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between:and; or 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
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## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder - 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   - **Cash:** 36,373,496 [7315]  
   - **Securities (at market):** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** -17,037 [7325]

3. **Exchange traded options**  
   - a. **Market value of open option contracts purchased on a foreign board of trade:** 21,353 [7335]  
   - b. **Market value of open contracts granted (sold) on a foreign board of trade:** 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   - **Cash:** 36,377,812 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   - **Exchange liquidating to a deficit and account with a debit balances - net liquidating equity method (add lines 4 and 5):** 36,383,911 [7355]

6. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   - 36,383,911 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   - A. Banks located in the United States: 17,437,907 [7500]  
   - B. Other banks qualified under Regulation 30.7: 6,053,921 [7520]  
     23,491,828 [7530]

2. **Securities**  
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]  
     0 [7570]

3. **Equities with registered futures commission merchants**  
   - A. Cash: 11,004,556 [7580]  
   - B. Securities: 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615]  
     11,004,556 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**  
   - A. Cash: 6,903,832 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675]  
     6,903,832 [7680]

5. **Amounts held by members of foreign boards of trade**  
   - A. Cash: 110,275 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 21,353 [7730]  
   - E. Value of short option contracts: 0 [7735]  
     131,628 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   - 0 [7760]

7. **Segregated funds on hand**  
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   - 41,531,844 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   - 5,147,933 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    - 4,147,933 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**  
     \[ 1,859,967,834 \text{ [7010]} \]
   - **B. Securities (at market)**  
     \[ 171,958,230 \text{ [7020]} \]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   \[ 1,077,541,850 \text{ [7030]} \]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**  
     \[ 6,785,940,570 \text{ [7032]} \]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**  
     \[ -5,089,947,872 \text{ [7033]} \]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   \[ 4,805,460,612 \text{ [7040]} \]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   - Less: amount offset by customer securities  
     \[ -4,144,240 \text{ [7047]} 486,284 \text{ [7050]} \]

6. **Amount required to be segregated (add lines 4 and 5)**  
   \[ 4,805,946,896 \text{ [7060]} \]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**  
     \[ 921,827,948 \text{ [7070]} \]
   - **B. Securities representing investments of customers' funds (at market)**  
     \[ 0 \text{ [7080]} \]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[ 509,240 \text{ [7090]} \]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**  
     \[ 938,705,512 \text{ [7100]} \]
   - **B. Securities representing investments of customers' funds (at market)**  
     \[ 999,080,349 \text{ [7110]} \]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
     \[ 167,420,251 \text{ [7120]} \]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   \[ 134,757,501 \text{ [7130]} \]

10. **Exchange traded options**
    - **A. Value of open long option contracts**  
      \[ 6,785,940,570 \text{ [7132]} \]
    - **B. Value of open short option contracts**  
      \[ -5,089,947,872 \text{ [7133]} \]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**  
      \[ 0 \text{ [7140]} \]
    - **B. Securities representing investments of customers' funds (at market)**  
      \[ 0 \text{ [7160]} \]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
      \[ 0 \text{ [7170]} \]

12. **Segregated funds on hand**  
    \[ 4,028,739 \text{ [7150]} \]

13. **Total amount in segregation (add lines 7 through 12)**  
    \[ 4,862,322,238 \text{ [7180]} \]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    \[ 56,375,342 \text{ [7190]} \]

15. **Management Target Amount for Excess funds in segregation**  
    \[ 19,000,000 \text{ [7194]} \]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**  
      \[ 37,375,342 \text{ [7198]} \]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. Net unrealized profit (loss) in open cleared swaps

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. Net Equity (deficit) (add lines 1, 2, and 3)

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)

**Funds in Cleared Swaps Customer Segregated Accounts**

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. Net settlement from (to) derivatives clearing organizations

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)

15. Management Target Amount for Excess funds in cleared swaps segregated accounts

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

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