INITIAL
End Date: 5/6/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/9/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Percentage range of customer segregated funds required: 0% to 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Percentage range of customer secured funds required: 0% to 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Percentage range of cleared swaps customer collateral required: 0% to 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>37,371,297</td>
</tr>
<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>519,323</td>
</tr>
<tr>
<td>3</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>37,920,618</td>
</tr>
<tr>
<td>5</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>7,131</td>
</tr>
<tr>
<td>6</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>37,927,749</td>
</tr>
</tbody>
</table>

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash in banks</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Securities</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Equities with registered futures commission merchants</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Amounts held by members of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Amounts with other depositories designated by a foreign board of trade</td>
<td></td>
</tr>
</tbody>
</table>

### Management Target Amount for Excess funds in separate section 30.7 accounts

**Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
</tr>
<tr>
<td>11</td>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>4,333,724</td>
</tr>
</tbody>
</table>
**INITIAL**

**End Date:** 5/6/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,980,815,655 [7010]
   - B. Securities (at market): 168,443,974 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 1,296,678,170 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 6,768,367,567 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -5,049,358,625 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 5,164,946,741 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 8,401,046 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 5,165,387,738 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 934,446,017 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 522,669 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 1,563,179,749 [7100]
   - B. Securities representing investments of customers' funds (at market): 1,023,972,814 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 163,920,251 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -193,192,975 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 6,768,367,567 [7132]
    - B. Value of open short option contracts: -5,049,358,625 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 4,001,054 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 5,215,858,521 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 50,470,783 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 31,470,783 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500] 0
   B. Securities (at market) [8510] 0
2. Net unrealized profit (loss) in open cleared swaps [8520] 0
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530] 0
   B. Market value of open cleared swaps option contracts granted (sold) [8540] 0
4. Net Equity (deficit) (add lines 1, 2, and 3) [8550] 0
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560] 0
   Less: amount offset by customer owned securities [8580] 0
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590] 0
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600] 0
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610] 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620] 0
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630] 0
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640] 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650] 0
9. Net settlement from (to) derivatives clearing organizations [8660] 0
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670] 0
    B. Value of open cleared swaps short option contracts [8680] 0
11. Net equities with other FCMs
    A. Net liquidating equity [8690] 0
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700] 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710] 0
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8720] 0
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8730] 0
15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760] 0
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770] 0