INITIAL
End Date: 5/16/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/17/2022
Name of Company  WEDBUSH SECURITIES INC
Contact Name  Kevin Wirth
Contact Phone Number  312-784-0475
Contact Email Address  kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or  **19,000,000**
   b. Minimum percentage of customer segregated funds required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of customer segregated funds required between:% and%.  0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or  **1,000,000**
   b. Minimum percentage of customer secured funds required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of customer secured funds required between:% and%.  0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or  0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or  0
   c. Dollar amount range between:and; or  0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%.  0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash \[34,115,339\]  [7315]
   - B. Securities (at market) \[0\]  [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** \[-870,916\]  [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade \[33,032\]  [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade \[0\]  [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** \[33,277,455\]  [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** \[15,881\]  [7351]
   - Less: amount offset by customer owned securities \[0\]  [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** \[33,293,336\]  [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** \[33,293,336\]  [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States \[19,739,519\]  [7500]
   - B. Other banks qualified under Regulation 30.7 \[3,109,534\]  [7520] \[22,849,053\]  [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States \[0\]  [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 \[0\]  [7560] \[0\]  [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash \[8,484,314\]  [7580]
   - B. Securities \[0\]  [7590]
   - C. Unrealized gain (loss) on open futures contracts \[0\]  [7600]
   - D. Value of long option contracts \[0\]  [7610]
   - E. Value of short option contracts \[0\]  [7615] \[8,484,314\]  [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash \[6,818,870\]  [7640]
   - B. Securities \[0\]  [7650]
   - C. Amount due to (from) clearing organization - daily variation \[0\]  [7660]
   - D. Value of long option contracts \[0\]  [7670]
   - E. Value of short option contracts \[0\]  [7675] \[6,818,870\]  [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash \[78,492\]  [7700]
   - B. Securities \[0\]  [7710]
   - C. Unrealized gain (loss) on open futures contracts \[0\]  [7720]
   - D. Value of long option contracts \[33,032\]  [7730]
   - E. Value of short option contracts \[0\]  [7735] \[111,524\]  [7740]

6. **Amounts with other depositories designated by a foreign board of trade** \[0\]  [7760]

7. **Segregated funds on hand** \[0\]  [7765]

8. **Total funds in separate section 30.7 accounts** \[38,263,761\]  [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** \[4,970,425\]  [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** \[1,000,000\]  [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** \[3,970,425\]  [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - 1,975,794,643 [7010]
   - **B. Securities (at market)**
     - 168,157,133 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 979,239,189 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 6,808,555,882 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,089,051,428 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 4,842,695,419 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 9,344,938 [7045]
   - Less: amount offset by customer securities
     - -8,870,905 [7047] 474,033 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 4,843,169,452 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
     - 798,463,753 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 479,882 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 1,231,906,015 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 948,724,132 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 163,875,583 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 22,248,834 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 6,808,555,882 [7132]
    - **B. Value of open short option contracts**
      - -5,089,051,428 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 3,801,668 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 4,889,004,321 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 45,834,869 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]