INITIAL
End Date: 5/23/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/24/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 33,912,946 [7315]
   B. Securities (at market) 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 19,017 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 27,606 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 33,999,569 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 6,398 [7352] 6,398 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 33,965,967 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 33,965,967 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 17,581,712 [7500]
   B. Other banks qualified under Regulation 30.7 3,565,929 [7520] 21,147,641 [7530]
2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash 10,594,787 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 10,594,787 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,846,890 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,846,890 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash 182,103 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 27,606 [7730]
   E. Value of short option contracts 0 [7735] 209,709 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 38,799,027 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 4,833,060 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,833,060 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 2,040,733,410 [7010]
   - B. Securities (at market): 169,030,613 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 1,083,274,129 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 7,240,445,126 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -5,320,838,744 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 5,212,644,534 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 9,796,183 [7045]

6. Amount required to be segregated (add lines 4 and 5): 5,213,313,369 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 764,737,630 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 463,094 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 1,343,763,112 [7100]
   - B. Securities representing investments of customers' funds (at market): 923,700,345 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 164,672,979 [7120]


10. Exchange traded options
    - A. Value of open long option contracts: 7,240,445,126 [7132]
    - B. Value of open short option contracts: -5,320,838,744 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 3,894,540 [7150]

13. Total amount in segregation (add lines 7 through 12): 5,263,587,791 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 50,274,422 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 31,274,422 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]
   - Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Deposited in cleared swaps customer segregated accounts at banks
     - A. Cash: 0 [8600]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

7. **Funds in Cleared Swaps Customer Segregated Accounts**
   - Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
     - A. Cash: 0 [8630]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

8. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

9. **Cleared swaps options**
   - A. Value of open cleared swaps long option contracts: 0 [8670]
   - B. Value of open cleared swaps short option contracts: 0 [8680]

10. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

11. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

14. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]