Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $19,000,000

b. Minimum percentage of customer segregated funds required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000

b. Minimum percentage of customer secured funds required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of customer secured funds required between: 0% and 0%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0

b. Minimum percentage of cleared swaps customer collateral required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents CH
INITIAL
End Date: 5/24/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/25/2022

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
   34,224,574 [7315]
   B. Securities (at market)
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -787,302 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
   29,260 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
   0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   33,466,532 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   10,517 [7351]
   Less: amount offset by customer owned securities
   0 [7352] 10,517 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5)
   33,477,049 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   33,477,049 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States
   16,591,806 [7500]
   B. Other banks qualified under Regulation 30.7
   3,567,342 [7520] 20,159,148 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
   0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
   10,451,793 [7580]
   B. Securities
   0 [7590]
   C. Unrealized gain (loss) on open futures contracts
   0 [7600]
   D. Value of long option contracts
   0 [7610]
   E. Value of short option contracts
   0 [7615] 10,451,793 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
   7,169,877 [7640]
   B. Securities
   0 [7650]
   C. Amount due to (from) clearing organization - daily variation
   0 [7660]
   D. Value of long option contracts
   0 [7670]
   E. Value of short option contracts
   0 [7675] 7,169,877 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
   638,813 [7700]
   B. Securities
   0 [7710]
   C. Unrealized gain (loss) on open futures contracts
   0 [7720]
   D. Value of long option contracts
   29,260 [7730]
   E. Value of short option contracts
   0 [7735] 668,073 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts
   38,448,891 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   4,971,842 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    3,971,842 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $2,042,268,077
   - **Securities (at market)**: $176,841,268

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $1,123,553,298

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $7,220,341,631
   - **Deduct market value of open option contracts granted (sold) on a contract market**: $(5,264,067,699)

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,298,936,575

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $9,197,212
   - Less: amount offset by customer securities: $(8,724,745)
   - Net amount: $472,467

6. **Amount required to be segregated (add lines 4 and 5)**: $5,299,409,042

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $861,007,774
   - **Securities representing investments of customers' funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $463,096

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $1,481,738,699
   - **Securities representing investments of customers' funds (at market)**: $848,741,449
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $172,472,979

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $26,522,207

10. **Exchange traded options**
    - **Value of open long option contracts**: $7,220,341,631
    - **Value of open short option contracts**: $(5,264,067,699)

11. **Net equities with other FCMs**
    - **Net liquidating equity**: $0
    - **Securities representing investments of customers' funds (at market)**: $0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0

12. **Segregated funds on hand**: $3,905,193

13. **Total amount in segregation (add lines 7 through 12)**: $5,381,125,329

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $51,716,287

15. **Management Target Amount for Excess funds in segregation**: $19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $32,716,287
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]