INITIAL
End Date: 5/30/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/31/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: $35,320,201 [7315]
   - B. Securities (at market): $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: $-385,264 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: $34,611 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: $-4,055 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): $34,969,493 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: $4,226 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): $34,969,719 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: $34,969,719 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: $16,835,240 [7500]
   - B. Other banks qualified under Regulation 30.7: $4,963,010 [7520, 21,798,250 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: $0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560, 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: $10,833,540 [7580]
   - B. Securities: $0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7600]
   - D. Value of long option contracts: $0 [7610]
   - E. Value of short option contracts: $0 [7615, 10,833,540 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: $7,390,437 [7640]
   - B. Securities: $0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: $0 [7660]
   - D. Value of long option contracts: $0 [7670]
   - E. Value of short option contracts: $0 [7675, 7,390,437 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: $283,997 [7700]
   - B. Securities: $0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7720]
   - D. Value of long option contracts: $34,611 [7730]
   - E. Value of short option contracts: $-4,055 [7735, 314,553 [7740]

6. Amounts with other depositories designated by a foreign board of trade: $0 [7760]

7. Segregated funds on hand: $0 [7765]

8. Total funds in separate section 30.7 accounts: $40,336,780 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: $1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: $4,367,061 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: 2,193,745,645 [7010]
   - **Securities (at market)**: 169,281,949 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 988,956,349 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 7,412,712,238 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -5,371,576,565 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 5,393,119,616 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 5,521,598 [7045]
   - Less: amount offset by customer securities: -5,061,240 [7047] 460,358 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 5,393,579,974 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 881,010,685 [7070]
   - **Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 476,702 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 1,374,717,526 [7100]
   - **Securities representing investments of customers’ funds (at market)**: 998,585,304 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 164,872,979 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -15,580,345 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 7,412,712,238 [7132]
    - **Value of open short option contracts**: -5,371,576,565 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,932,268 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 5,449,150,792 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 55,570,818 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 36,570,818 [7198]
### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]