INITIAL
End Date: 5/31/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/1/2022

Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer secured funds required between: % and %.
     0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder: 0 [7305]

<table>
<thead>
<tr>
<th>1.</th>
<th>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Cash</td>
</tr>
<tr>
<td>B.</td>
<td>Securities (at market)</td>
</tr>
<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
</tr>
<tr>
<td>3.</td>
<td>Exchange traded options</td>
</tr>
<tr>
<td>a.</td>
<td>Market value of open option contracts purchased on a foreign board of trade</td>
</tr>
<tr>
<td>b.</td>
<td>Market value of open contracts granted (sold) on a foreign board of trade</td>
</tr>
<tr>
<td>4.</td>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
</tr>
<tr>
<td>5.</td>
<td>Account liquidating to a deficit and account with a debit balance - gross amount</td>
</tr>
<tr>
<td>Less:</td>
<td>amount offset by customer owned securities</td>
</tr>
<tr>
<td>6.</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
</tr>
</tbody>
</table>

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States 17,211,834 [7500]
   - B. Other banks qualified under Regulation 30.7 4,950,396 [7520] 22,162,230 [7530]
2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   - A. Cash 11,120,726 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 11,120,726 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 7,686,049 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 7,686,049 [7680]
5. Amounts held by members of foreign boards of trade
   - A. Cash 276,525 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 1,313 [7730]
   - E. Value of short option contracts 10,074 [7735] 267,764 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 41,236,769 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,414,774 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,414,774 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: \(2,277,916,707\) [7010]
   - B. Securities (at market): \(169,304,664\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(925,809,296\) [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \(6,989,391,301\) [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-5,023,473,202\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(5,338,948,766\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(3,823,049\) [7045]
   - Less: amount offset by customer securities: \(-3,386,239\) [7047] \(436,810\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(5,339,385,576\) [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \(888,468,911\) [7070]
   - B. Securities representing investments of customers’ funds (at market): \(0\) [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(485,243\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \(1,407,471,019\) [7100]
   - B. Securities representing investments of customers’ funds (at market): \(1,048,490,066\) [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(164,872,979\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(-83,338,639\) [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: \(6,989,391,301\) [7132]
    - B. Value of open short option contracts: \(-5,023,473,202\) [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \(0\) [7140]
    - B. Securities representing investments of customers’ funds (at market): \(0\) [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0\) [7170]

12. **Segregated funds on hand**
    - \(3,946,442\) [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \(5,396,314,120\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(56,928,544\) [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \(37,928,544\) [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash                      0 [8500]
   B. Securities (at market)    0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased    0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash                      0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash                      0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8720]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    0 [8770]