END DATE: 6/6/2022
FIRM NAME: WEDBUSH SECURITIES INC
FORM: DAILY SEG - FOCUS II
SUBMIT DATE: 6/7/2022

DAILY SEGREGATION - COVER PAGE

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $0; or
b. Minimum percentage of customer segregated funds required: 0%; or
c. Dollar amount range between: $0; or
d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000; or
b. Minimum percentage of customer secured funds required: 0%; or
c. Dollar amount range between: $0; or
d. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0; or
b. Minimum percentage of cleared swaps customer collateral required: 0%; or
c. Dollar amount range between: $0; or
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents CH
**INITIAL**

**End Date:** 6/6/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 6/7/2022

### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   40,314,671 [7315]  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   394,172 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   602 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   -9,729 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   40,699,716 [7345]

5. Account liquidating to a deficit and account with a debit balance - gross amount  
   6,074 [7351]  
   Less: amount offset by customer owned securities  
   0 [7352]  
   6,074 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  
   40,705,790 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   40,705,790 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States  
   15,565,100 [7500]  
   B. Other banks qualified under Regulation 30.7  
   4,881,772 [7520]  
   20,446,872 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560]  
   0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   17,646,009 [7580]  
   B. Securities  
   0 [7590]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]  
   D. Value of long option contracts  
   0 [7610]  
   E. Value of short option contracts  
   0 [7615]  
   17,646,009 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   7,015,751 [7640]  
   B. Securities  
   0 [7650]  
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]  
   D. Value of long option contracts  
   0 [7670]  
   E. Value of short option contracts  
   0 [7675]  
   7,015,751 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   308,633 [7700]  
   B. Securities  
   0 [7710]  
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]  
   D. Value of long option contracts  
   602 [7730]  
   E. Value of short option contracts  
   -9,729 [7735]  
   299,506 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]  

7. Segregated funds on hand  
   0 [7765]  

8. Total funds in separate section 30.7 accounts  
   45,408,138 [7770]  

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   4,702,348 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    3,702,348 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: $2,191,206,779 [7010]
   - **Securities (at market)**: $197,055,815 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $1,273,253,858 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $7,999,210,248 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -$5,735,069,456 [7033]
   - **Net equity (deficit) (add lines 1, 2 and 3)**: $5,925,657,244 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $2,841,062 [7045]
   - Less: amount offset by customer securities: -$2,348,758 [7047] $492,304 [7050]

5. **Amount required to be segregated (add lines 4 and 5)**: $5,926,149,548 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

6. **Deposited in segregated funds bank accounts**
   - **Cash**: $929,202,914 [7070]
   - **Securities representing investments of customers’ funds (at market)**: $0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $487,568 [7090]

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $1,558,374,502 [7100]
   - **Securities representing investments of customers’ funds (at market)**: $798,746,651 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $192,638,192 [7120]

8. **Net settlement from (to) derivatives clearing organizations of contract markets**: $225,846,478 [7130]

9. **Exchange traded options**
   - **Value of open long option contracts**: $7,999,210,248 [7132]
   - **Value of open short option contracts**: -$5,735,069,456 [7133]

10. **Net equities with other FCMs**
    - **Net liquidating equity**: $0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: $0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

11. **Segregated funds on hand**: $3,930,055 [7150]

12. **Total amount in segregation (add lines 7 through 12)**: $5,973,367,152 [7180]

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $47,217,604 [7190]

14. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: $28,217,604 [7198]
Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
   - A. Value of open cleared swaps long option contracts: 0 [8670]
   - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
   - A. Net liquidating equity: 0 [8690]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]