INITIAL
End Date: 6/7/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/8/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 42,926,487 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -1,858,773 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 377 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -10,043 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 41,058,048 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 5,478 [7351]
   Less: amount offset by customer owned securities 0 [7352] 5,478 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   41,063,526 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6.
   41,063,526 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 19,113,149 [7500]
   B. Other banks qualified under Regulation 30.7 3,066,506 [7520] 22,179,655 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 16,173,857 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 16,173,857 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 7,083,514 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 7,083,514 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 373,438 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 377 [7730]
   E. Value of short option contracts -10,043 [7735] 363,772 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts 45,800,798 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8)
   4,737,272 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    3,737,272 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**
     - 2,270,428,476 [7010]
   - **Securities (at market)**
     - 197,068,896 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 1,262,997,041 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 8,135,345,907 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,815,291,980 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 6,050,548,340 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 2,972,298 [7045]
   - **Less: amount offset by customer securities**
     - -2,483,648 [7047]
     - 488,650 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 6,051,036,990 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**
     - 927,726,193 [7070]
   - **Securities representing investments of customers’ funds (at market)**
     - 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 487,523 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**
     - 1,450,197,669 [7100]
   - **Securities representing investments of customers’ funds (at market)**
     - 898,569,403 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 192,638,192 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 306,471,243 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 8,135,345,907 [7132]
    - **B. Value of open short option contracts**
      - -5,815,291,980 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 3,943,181 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 6,100,087,331 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 49,050,341 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 30,050,341 [7198]
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: \(0\) [8500]
   - B. Securities (at market): \(0\) [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: \(0\) [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: \(0\) [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): \(0\) [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: \(0\) [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: \(0\) [8560] 
   - Less: amount offset by customer owned securities: \(0\) [8570] \(0\) [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: \(0\) [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: \(0\) [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): \(0\) [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: \(0\) [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): \(0\) [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8650]

9. **Net settlement from (to) derivatives clearing organizations**: \(0\) [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: \(0\) [8670]
    - B. Value of open cleared swaps short option contracts: \(0\) [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \(0\) [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): \(0\) [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \(0\) [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: \(0\)
    - B. Securities representing investments of cleared swaps customers’ funds (at market): \(0\) [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: \(0\) [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: \(0\) [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: \(0\) [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: \(0\) [8770]