INITIAL
End Date: 6/10/2022
Firm Name: WEKBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/13/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: 19,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: 1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
<table>
<thead>
<tr>
<th><strong>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td><strong>39,849,041</strong> [7315]</td>
</tr>
<tr>
<td><strong>B. Securities (at market)</strong></td>
<td><strong>0</strong> [7317]</td>
</tr>
</tbody>
</table>

| **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | **322,456** [7325] |

<table>
<thead>
<tr>
<th><strong>Exchange traded options</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a. Market value of open option contracts purchased on a foreign board of trade</strong></td>
<td><strong>5</strong> [7335]</td>
</tr>
<tr>
<td><strong>b. Market value of open contracts granted (sold) on a foreign board of trade</strong></td>
<td><strong>-16,727</strong> [7337]</td>
</tr>
</tbody>
</table>

| **Net equity (deficit) (add lines 1. 2. and 3.)** | **40,154,775** [7345] |

| **Account liquidating to a deficit and account with a debit balances - gross amount** | **14,545** [7351] |

| **Amount required to be set aside as the secured amount - Net Liquidating Equity** | **40,169,320** [7355] |

<table>
<thead>
<tr>
<th><strong>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cash in banks</strong></td>
<td></td>
</tr>
<tr>
<td><strong>A. Banks located in the United States</strong></td>
<td><strong>15,166,772</strong> [7500]</td>
</tr>
<tr>
<td><strong>B. Other banks qualified under Regulation 30.7</strong></td>
<td><strong>2,523,750</strong> [7520] <strong>17,690,522</strong> [7530]</td>
</tr>
<tr>
<td><strong>Securities</strong></td>
<td></td>
</tr>
<tr>
<td><strong>A. In safekeeping with banks located in the United States</strong></td>
<td><strong>0</strong> [7540]</td>
</tr>
<tr>
<td><strong>B. In safekeeping with other banks qualified under Regulation 30.7</strong></td>
<td><strong>0</strong> [7560] <strong>0</strong> [7570]</td>
</tr>
<tr>
<td><strong>Equities with registered futures commission merchants</strong></td>
<td></td>
</tr>
<tr>
<td><strong>A. Cash</strong></td>
<td><strong>20,308,739</strong> [7580]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td><strong>0</strong> [7590]</td>
</tr>
<tr>
<td><strong>C. Unrealized gain (loss) on open futures contracts</strong></td>
<td><strong>0</strong> [7600]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td><strong>0</strong> [7610]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td><strong>0</strong> [7615] <strong>20,308,739</strong> [7620]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Amounts held by clearing organizations of foreign boards of trade</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td><strong>6,753,624</strong> [7640]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td><strong>0</strong> [7650]</td>
</tr>
<tr>
<td><strong>C. Amount due to (from) clearing organization - daily variation</strong></td>
<td><strong>0</strong> [7660]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td><strong>0</strong> [7670]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td><strong>0</strong> [7675] <strong>6,753,624</strong> [7680]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Amounts held by members of foreign boards of trade</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Cash</strong></td>
<td><strong>427,397</strong> [7700]</td>
</tr>
<tr>
<td><strong>B. Securities</strong></td>
<td><strong>0</strong> [7710]</td>
</tr>
<tr>
<td><strong>C. Unrealized gain (loss) on open futures contracts</strong></td>
<td><strong>0</strong> [7720]</td>
</tr>
<tr>
<td><strong>D. Value of long option contracts</strong></td>
<td><strong>0</strong> [7730]</td>
</tr>
<tr>
<td><strong>E. Value of short option contracts</strong></td>
<td><strong>-16,727</strong> [7735] <strong>410,675</strong> [7740]</td>
</tr>
</tbody>
</table>

| **Amounts with other depositories designated by a foreign board of trade** | **0** [7760] |

| **Segregated funds on hand** | **0** [7765] |

| **Total funds in separate section 30.7 accounts** | **45,163,560** [7770] |

| **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | **4,994,240** [7780] |

| **Management Target Amount for Excess funds in separate section 30.7 accounts** | **1,000,000** [7780] |

| **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | **3,994,240** [7785] |
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEA Act)

1. Net ledger balance
   
   **A. Cash**  
   2,372,237,718 [7010]
   
   **B. Securities (at market)**  
   198,057,284 [7020]
   
2. Net unrealized profit (loss) in open futures contracts traded on a contract market  
   1,455,925,078 [7030]
   
3. Exchange traded options
   
   **A. Add market value of open option contracts purchased on a contract market**  
   8,186,729,754 [7032]
   
   **B. Deduct market value of open option contracts granted (sold) on a contract market**  
   -5,712,025,457 [7033]
   
4. Net equity (deficit) (add lines 1, 2 and 3)  
   6,500,924,377 [7040]
   
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  
   4,526,465 [7045]
   
   Less: amount offset by customer securities  
   -4,058,510 [7047] 467,955 [7050]
   
6. Amount required to be segregated (add lines 4 and 5)  
   6,501,392,332 [7060]
   
7. Deposited in segregated funds bank accounts
   
   **A. Cash**  
   943,482,414 [7070]
   
   **B. Securities representing investments of customers' funds (at market)**  
   0 [7080]
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
   489,311 [7090]
   
8. Margins on deposit with derivatives clearing organizations of contract markets
   
   **A. Cash**  
   1,936,729,642 [7100]
   
   **B. Securities representing investments of customers' funds (at market)**  
   948,004,067 [7110]
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
   193,618,412 [7120]
   
9. Net settlement from (to) derivatives clearing organizations of contract markets  
   44,599,296 [7130]
   
10. Exchange traded options
   
   **A. Value of open long option contracts**  
   8,186,729,754 [7132]
   
   **B. Value of open short option contracts**  
   -5,712,025,457 [7133]
   
11. Net equities with other FCMs
   
   **A. Net liquidating equity**  
   0 [7140]
   
   **B. Securities representing investments of customers' funds (at market)**  
   0 [7160]
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
   0 [7170]
   
12. Segregated funds on hand  
   3,949,561 [7150]
   
13. Total amount in segregation (add lines 7 through 12)  
   6,545,577,000 [7180]
   
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  
   44,184,668 [7190]
   
15. Management Target Amount for Excess funds in segregation  
   19,000,000 [7194]
   
16. Excess (deficiency) funds in segregation over (under) Management Target Amount  
   Excess  
   25,184,668 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]