AMENDMENT
End Date: 6/14/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/16/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   b. Minimum percentage of customer segregated funds required: % ; or 
   c. Dollar amount range between: and; or 
   d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   b. Minimum percentage of customer secured funds required: % ; or 
   c. Dollar amount range between: and; or 
   d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 
   c. Dollar amount range between: and; or 
   d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents CH
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0 [7305]**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **Cash: 41,456,119 [7315]**
   - **Securities (at market): 0 [7317]**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: **944,004 [7325]**

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: **0 [7335]**
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **-27,602 [7337]**

4. Net equity (deficit) (add lines 1, 2, and 3): **42,372,521 [7345]**

5. Account liquidating to a deficit and account with a debit balances - gross amount: **12,478 [7351]**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): **42,384,999 [7355]**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **42,384,999 [7360]**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: **15,230,075 [7500]**
   - B. Other banks qualified under Regulation 30.7: **2,524,577 [7520]**

2. Securities
   - A. In safekeeping with banks located in the United States: **0 [7540]**
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0 [7560]**

3. Equities with registered futures commission merchants
   - A. Cash: **21,985,804 [7580]**
   - B. Securities: **0 [7590]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7600]**
   - D. Value of long option contracts: **0 [7610]**
   - E. Value of short option contracts: **0 [7615]**

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: **6,832,633 [7640]**
   - B. Securities: **0 [7650]**
   - C. Amount due to (from) clearing organization - daily variation: **0 [7660]**
   - D. Value of long option contracts: **0 [7670]**
   - E. Value of short option contracts: **0 [7675]**

5. Amounts held by members of foreign boards of trade
   - A. Cash: **340,469 [7700]**
   - B. Securities: **0 [7710]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7720]**
   - D. Value of long option contracts: **0 [7730]**
   - E. Value of short option contracts: **-27,602 [7735]**

6. Amounts with other depositories designated by a foreign board of trade: **0 [7760]**

7. Segregated funds on hand: **0 [7765]**

8. Total funds in separate section 30.7 accounts: **46,885,956 [7770]**

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Segured Statement Page 1 from Line 8): **4,500,957 [7780]**

10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000 [7780]**

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: **3,500,957 [7785]**
### Daily Segregation - Segregation Statement

**SEgregation Requirements (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**
     - $1,323,272,587 [7010]
   - **B. Securities (at market)**
     - $197,958,951 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $1,245,063,402 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - $7,425,245,118 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - $-5,239,110,859 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $4,952,429,199 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $9,786,051 [7045]
   - Less: amount offset by customer securities
     - $-9,328,458 [7047] $457,593 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - $4,952,886,792 [7060]

**Funds in Segregated Accounts**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - $941,029,893 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $486,435 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - $1,151,936,360 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - $747,933,078 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - $193,520,154 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - $-230,014,285 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - $7,425,245,118 [7132]
    - **B. Value of open short option contracts**
      - $-5,239,110,859 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - $3,952,362 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - $4,994,978,256 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $42,091,464 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - $23,091,464 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]