INITIAL
End Date: 6/15/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/16/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000 or
  b. Minimum percentage of customer segregated funds required: 0% or
  c. Dollar amount range between: $0 and $0 or
  d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000 or
  b. Minimum percentage of customer secured funds required: 0% or
  c. Dollar amount range between: $0 and $0 or
  d. Percentage range of customer secured funds required between: 0% and 0%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0 or
  b. Minimum percentage of cleared swaps customer collateral required: 0% or
  c. Dollar amount range between: $0 and $0 or
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents CH
**INITIAL**

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*Firm Name: WEDBUSH SECURITIES INC*

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 40,814,802 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,514,842 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -25,340 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 42,300,304 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,473 [7351]

   - Less: amount offset by customer owned securities: 0 [7352] 6,473 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 42,310,777 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 42,310,777 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 15,014,012 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,536,694 [7520] 17,550,706 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 21,234,359 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 21,234,359 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 8,014,425 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 8,014,425 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 311,137 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 47,085,287 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,774,510 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,774,510 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**
     - 1,330,820,037 [7010]
   - **Securities (at market)**
     - 197,936,580 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 1,318,910,807 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**
     - 7,179,590,592 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,023,077,771 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 5,004,180,245 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 9,742,161 [7045]
   - Less: amount offset by customer securities
     - -9,314,185 [7047] 427,976 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 5,004,608,221 [7060]

7. **Deposited in segregated funds bank accounts**
   - **Cash**
     - 947,244,028 [7070]
   - **Securities representing investments of customers' funds (at market)**
     - 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 479,446 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**
     - 887,488,778 [7100]
   - **Securities representing investments of customers' funds (at market)**
     - 747,968,230 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 193,666,326 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 111,052,904 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**
      - 7,179,590,592 [7132]
    - **Value of open short option contracts**
      - -5,023,077,771 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**
      - 0 [7140]
    - **Securities representing investments of customers' funds (at market)**
      - 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 3,790,808 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 5,048,203,341 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 43,595,120 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 24,595,120 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]