INITIAL
End Date: 6/23/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/24/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: 19,000,000
  b. Minimum percentage of customer segregated funds required: 0
  c. Dollar amount range between: 0 0
  d. Percentage range of customer segregated funds required between: 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: 1,000,000
  b. Minimum percentage of customer secured funds required: 0
  c. Dollar amount range between: 0 0
  d. Percentage range of customer secured funds required between: 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: 0
  b. Minimum percentage of cleared swaps customer collateral required: 0
  c. Dollar amount range between: 0 0
  d. Percentage range of cleared swaps customer collateral required between: 0 0

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Total</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash | 43,743,729 [7315] |
   - B. Securities (at market) | 0 [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | -1,122,624 [7325] |

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade | 5,298 [7335] |
   - b. Market value of open contracts granted (sold) on a foreign board of trade | -41,233 [7337] |

4. **Net equity (deficit) (add lines 1. 2. and 3.)** | 42,585,170 [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | 11,266 [7351] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5) | 42,596,436 [7355] |

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | 42,596,436 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States | 17,774,360 [7500] |
   - B. Other banks qualified under Regulation 30.7 | 6,112,668 [7520] |

2. **Securities**
   - A. In safekeeping with banks located in the United States | 0 [7540] |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] |

3. **Equities with registered futures commission merchants**
   - A. Cash | 14,619,971 [7580] |
   - B. Securities | 0 [7590] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   - D. Value of long option contracts | 0 [7610] |
   - E. Value of short option contracts | 0 [7615] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash | 8,966,545 [7640] |
   - B. Securities | 0 [7650] |
   - C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   - D. Value of long option contracts | 0 [7670] |
   - E. Value of short option contracts | 0 [7675] |

5. **Amounts held by members of foreign boards of trade**
   - A. Cash | 269,467 [7700] |
   - B. Securities | 0 [7710] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   - D. Value of long option contracts | 5,298 [7730] |
   - E. Value of short option contracts | -41,233 [7735] |

6. **Amounts with other depositories designated by a foreign board of trade** | 0 [7760] |

7. **Segregated funds on hand** | 0 [7765] |

8. **Total funds in separate section 30.7 accounts** | 47,707,076 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 5,110,640 [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 4,110,640 [7785] |
Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - Cash = 1,722,369,454
   - Securities (at market) = 200,860,539

2. Net unrealized profit (loss) in open futures contracts traded on a contract market = 964,758,360

3. Exchange traded options
   - Add market value of open option contracts purchased on a contract market = 5,519,697,892
   - Deduct market value of open option contracts granted (sold) on a contract market = -4,141,449,303

4. Net equity (deficit) (add lines 1, 2 and 3) = 4,266,236,942

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount = 7,833,623
   - Less: amount offset by customer securities = -7,360,000
   - 473,623

6. Amount required to be segregated (add lines 4 and 5) = 4,266,710,565

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - Cash = 940,038,375
   - Securities representing investments of customers' funds (at market) = 0
   - Securities held for particular customers or option customers in lieu of cash (at market) = 497,954

8. Margins on deposit with derivatives clearing organizations of contract markets
   - Cash = 1,023,118,533
   - Securities representing investments of customers' funds (at market) = 847,576,442
   - Securities held for particular customers or option customers in lieu of cash (at market) = 196,460,954

9. Net settlement from (to) derivatives clearing organizations of contract markets = -73,450,044

10. Exchange traded options
    - Value of open long option contracts = 5,519,697,892
    - Value of open short option contracts = -4,141,449,303

11. Net equities with other FCMs
    - Net liquidating equity = 0
    - Securities representing investments of customers' funds (at market) = 0
    - Securities held for particular customers or option customers in lieu of cash (at market) = 0

12. Segregated funds on hand = 3,901,631

13. Total amount in segregation (add lines 7 through 12) = 4,316,392,434

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) = 49,681,869

15. Management Target Amount for Excess funds in segregation = 19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess = 30,681,869
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND**
**FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570] 0 [8580]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]