INITIAL
End Date: 6/30/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/1/2022
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \[0 \text{ [7305]}\]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash:** \[42,950,676 \text{ [7315]}\]
   - **B. Securities (at market):** \[0 \text{ [7317]}\]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** \[-786,928 \text{ [7325]}\]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade:** \[2,795 \text{ [7335]}\]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** \[-14,824 \text{ [7337]}\]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - \[42,151,179 \text{ [7345]}\]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities:** \[0 \text{ [7352]} \text{ 8,218} \text{ [7354]}\]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - \[42,159,937 \text{ [7355]}\]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \[42,159,937 \text{ [7360]}\]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States:** \[14,831,045 \text{ [7500]}\]
   - **B. Other banks qualified under Regulation 30.7:** \[4,825,199 \text{ [7520]} \text{ 19,656,244} \text{ [7530]}\]

2. **Securities**
   - **A. In safekeeping with banks located in the United States:** \[0 \text{ [7540]}\]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** \[0 \text{ [7560]} \text{ 0} \text{ [7570]}\]

3. **Equities with registered futures commission merchants**
   - **A. Cash:** \[17,022,409 \text{ [7580]}\]
   - **B. Securities:** \[0 \text{ [7590]}\]
   - **C. Unrealized gain (loss) on open futures contracts:** \[0 \text{ [7600]}\]
   - **D. Value of long option contracts:** \[0 \text{ [7610]}\]
   - **E. Value of short option contracts:** \[0 \text{ [7615]} \text{ 17,022,409} \text{ [7620]}\]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash:** \[10,089,002 \text{ [7640]}\]
   - **B. Securities:** \[0 \text{ [7650]}\]
   - **C. Amount due to (from) clearing organization - daily variation:** \[0 \text{ [7660]}\]
   - **D. Value of long option contracts:** \[0 \text{ [7670]}\]
   - **E. Value of short option contracts:** \[0 \text{ [7675]} \text{ 10,089,002} \text{ [7680]}\]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash:** \[446,161 \text{ [7700]}\]
   - **B. Securities:** \[0 \text{ [7710]}\]
   - **C. Unrealized gain (loss) on open futures contracts:** \[0 \text{ [7720]}\]
   - **D. Value of long option contracts:** \[2,795 \text{ [7730]}\]
   - **E. Value of short option contracts:** \[-14,824 \text{ [7735]} \text{ 434,132} \text{ [7740]}\]

6. **Amounts with other depositories designated by a foreign board of trade**
   - \[0 \text{ [7760]}\]

7. **Segregated funds on hand**
   - \[0 \text{ [7765]}\]

8. **Total funds in separate section 30.7 accounts**
   - \[47,201,787 \text{ [7770]}\]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - \[5,041,850 \text{ [7780]}\]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - \[1,000,000 \text{ [7780]}\]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - \[4,041,850 \text{ [7785]}\]
**INITIAL**

End Date: 6/30/2022  
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### Daily Segregation - Segregation Statement

<table>
<thead>
<tr>
<th><strong>SEGREGATION REQUIREMENTS</strong> (Section 4d(2) of the CEAct)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$1,711,880,965 [7010]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>$184,519,795 [7020]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>$640,182,633 [7030]</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>$4,556,658,126 [7032]</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-$3,571,288,088 [7033]</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1, 2 and 3)</td>
<td></td>
</tr>
<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>$6,792,007 [7045]</td>
</tr>
<tr>
<td>Less: amount offset by customer securities</td>
<td>-$5,224,638 [7047]</td>
</tr>
<tr>
<td>1,567,369</td>
<td></td>
</tr>
<tr>
<td>6. Amount required to be segregated (add lines 4 and 5)</td>
<td></td>
</tr>
<tr>
<td>FUNDS IN SEGREGATED ACCOUNTS</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$1,021,462,138 [7070]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0 [7080]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$484,087 [7090]</td>
</tr>
<tr>
<td>8. Margins on deposit with derivatives clearing organizations of contract markets</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$768,043,817 [7100]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$697,735,973 [7110]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$180,259,004 [7120]</td>
</tr>
<tr>
<td>9. Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>-$92,765,743 [7130]</td>
</tr>
<tr>
<td>10. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>A. Value of open long option contracts</td>
<td>$4,556,658,126 [7132]</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-$3,571,288,088 [7133]</td>
</tr>
<tr>
<td>11. Net equities with other FCMs</td>
<td></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>0 [7140]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0 [7160]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0 [7170]</td>
</tr>
<tr>
<td>12. Segregated funds on hand</td>
<td>3,776,704 [7150]</td>
</tr>
<tr>
<td>13. Total amount in segregation (add lines 7 through 12)</td>
<td>3,564,366,018 [7180]</td>
</tr>
<tr>
<td>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>40,845,218 [7190]</td>
</tr>
<tr>
<td>15. Management Target Amount for Excess funds in segregation</td>
<td>19,000,000 [7194]</td>
</tr>
<tr>
<td>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>21,845,218 [7198]</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]