INITIAL
End Date: 7/1/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/5/2022
WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   A. Cash: \(\text{\$43,847,209}\) [7315]
   
   B. Securities (at market): \(\text{\$0}\) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

   \(\text{-1,951,548}\) [7325]

3. **Exchange traded options**

   a. Market value of open option contracts purchased on a foreign board of trade: \(\text{\$2,537}\) [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade: \(\text{-14,263}\) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**

   \(\text{\$41,883,935}\) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   \(\text{\$9,304}\) [7351]

   Less: amount offset by customer owned securities:

   \(\text{\$0}\) [7352] \(\text{\$9,304}\) [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**

   \(\text{\$41,893,239}\) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

   \(\text{\$41,893,239}\) [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**

   A. Banks located in the United States: \(\text{\$14,661,170}\) [7500]
   
   B. Other banks qualified under Regulation 30.7: \(\text{\$4,830,661}\) [7520] \(\text{\$19,491,831}\) [7530]

2. **Securities**

   A. In safekeeping with banks located in the United States: \(\text{\$0}\) [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7: \(\text{\$0}\) [7560] \(\text{\$0}\) [7570]

3. **Equities with registered futures commission merchants**

   A. Cash: \(\text{\$15,427,964}\) [7580]
   
   B. Securities: \(\text{\$0}\) [7590]
   
   C. Unrealized gain (loss) on open futures contracts: \(\text{\$0}\) [7600]
   
   D. Value of long option contracts: \(\text{\$0}\) [7610]
   
   E. Value of short option contracts: \(\text{\$0}\) [7615] \(\text{\$15,427,964}\) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**

   A. Cash: \(\text{\$11,583,755}\) [7640]
   
   B. Securities: \(\text{\$0}\) [7650]
   
   C. Amount due to (from) clearing organization - daily variation: \(\text{\$0}\) [7660]
   
   D. Value of long option contracts: \(\text{\$0}\) [7670]
   
   E. Value of short option contracts: \(\text{\$0}\) [7675] \(\text{\$11,583,755}\) [7680]

5. **Amounts held by members of foreign boards of trade**

   A. Cash: \(\text{\$445,573}\) [7700]
   
   B. Securities: \(\text{\$0}\) [7710]
   
   C. Unrealized gain (loss) on open futures contracts: \(\text{\$0}\) [7720]
   
   D. Value of long option contracts: \(\text{\$2,537}\) [7730]
   
   E. Value of short option contracts: \(\text{-14,263}\) [7735] \(\text{\$433,847}\) [7740]

6. **Amounts with other depositories designated by a foreign board of trade**

   \(\text{\$0}\) [7760]

7. **Segregated funds on hand**

   \(\text{\$0}\) [7765]

8. **Total funds in separate section 30.7 accounts**

   \(\text{\$46,937,397}\) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

   \(\text{\$5,044,158}\) [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

    \(\text{\$1,000,000}\) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

    \(\text{\$4,044,158}\) [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 1,795,398,870 [7010]
   - **Securities (at market)**: 184,628,620 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 496,129,312 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 4,683,265,887 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -3,667,632,457 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 3,491,790,232 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 5,169,791 [7045]
   - Less: amount offset by customer securities: -4,731,523 [7047]
   - **438,268** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 3,492,228,500 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - **Cash**: 848,869,824 [7070]
     - **Securities representing investments of customers' funds (at market)**: 0 [7080]
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: 479,086 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 817,785,748 [7100]
   - **Securities representing investments of customers' funds (at market)**: 697,704,863 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 180,458,204 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -30,984,251 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 4,683,265,887 [7132]
    - **Value of open short option contracts**: -3,667,632,457 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]
    - **Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,691,330 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 3,533,638,234 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 41,409,734 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 22,409,734 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A.Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]