INITIAL
End Date: 7/6/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/7/2022
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: 0 and 0%
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
**INITIAL**

**End Date:** 7/6/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**  
     43,775,834 [7315]
   - **B. Securities (at market)**  
     0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   - 901,132 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade  
     795 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade  
     -18,877 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   - 42,856,620 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   - 7,869 [7351]
   - Less: amount offset by customer owned securities  
     0 [7352]  
     7,869 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5)  
     42,864,489 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   - 42,864,489 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States  
     14,105,770 [7500]
   - B. Other banks qualified under Regulation 30.7  
     8,323,439 [7520]  
     22,429,209 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States  
     0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7  
     0 [7560]  
     0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash  
     14,790,779 [7580]
   - B. Securities  
     0 [7590]
   - C. Unrealized gain (loss) on open futures contracts  
     0 [7600]
   - D. Value of long option contracts  
     0 [7610]
   - E. Value of short option contracts  
     0 [7615]  
     14,790,779 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash  
     10,571,382 [7640]
   - B. Securities  
     0 [7650]
   - C. Amount due to (from) clearing organization - daily variation  
     0 [7660]
   - D. Value of long option contracts  
     0 [7670]
   - E. Value of short option contracts  
     0 [7675]  
     10,571,382 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash  
     377,179 [7700]
   - B. Securities  
     0 [7710]
   - C. Unrealized gain (loss) on open futures contracts  
     0 [7720]
   - D. Value of long option contracts  
     795 [7730]
   - E. Value of short option contracts  
     -18,877 [7735]  
     359,097 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   - 0 [7760]

7. **Segregated funds on hand**  
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   - 48,150,467 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   - 5,285,978 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    - 4,285,978 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,779,773,645 [7010]
   - B. Securities (at market): 205,836,837 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 546,193,084 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 4,569,746,543 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,596,265,238 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 3,505,284,871 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -3,988,315 [7047] 452,186 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 3,505,737,057 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 878,095,897 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 477,119 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 649,249,141 [7100]
   - B. Securities representing investments of customers' funds (at market): 797,620,933 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 201,849,960 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 44,984,012 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 4,569,746,543 [7132]
    - B. Value of open short option contracts: -3,596,265,238 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 3,509,758 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 3,549,269,125 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 43,531,068 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 24,531,068 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)
2. **Net unrealized profit (loss) in open cleared swaps**
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. **Net Equity (deficit) (add lines 1, 2, and 3)**
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. **Net settlement from (to) derivatives clearing organizations**
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**