INITIAL
End Date: 7/11/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/12/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $0; or $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%; or 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $0; or $1,000,000
  b. Minimum percentage of customer secured funds required: 0%; or 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%; or 0%
  c. Dollar amount range between: and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents CH
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash
   - B. Securities (at market)

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade
   - b. Market value of open contracts granted (sold) on a foreign board of trade

4. Net equity (deficit) (add lines 1. 2. and 3.)

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - Less: amount offset by customer owned securities

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   - Method (add lines 4 and 5)

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States
   - B. Other banks qualified under Regulation 30.7

2. Securities
   - A. In safekeeping with banks located in the United States
   - B. In safekeeping with other banks qualified under Regulation 30.7

3. Equities with registered futures commission merchants
   - A. Cash
   - B. Securities
   - C. Unrealized gain (loss) on open futures contracts
   - D. Value of long option contracts
   - E. Value of short option contracts

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash
   - B. Securities
   - C. Amount due to (from) clearing organization - daily variation
   - D. Value of long option contracts
   - E. Value of short option contracts

5. Amounts held by members of foreign boards of trade
   - A. Cash
   - B. Securities
   - C. Unrealized gain (loss) on open futures contracts
   - D. Value of long option contracts
   - E. Value of short option contracts

6. Amounts with other depositories designated by a foreign board of trade

7. Segregated funds on hand

8. Total funds in separate section 30.7 accounts

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

10. Management Target Amount for Excess funds in separate section 30.7 accounts

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
1. **Net ledger balance**
   - A. **Cash**: \(1,831,496,787\) [7010]
   - B. **Securities (at market)**: \(205,727,376\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: \(604,456,823\) [7030]

3. **Exchange traded options**
   - A. **Add market value of open option contracts purchased on a contract market**: \(5,049,071,818\) [7032]
   - B. **Deduct market value of open option contracts granted (sold) on a contract market**: \(-3,901,147,244\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: \(3,789,605,560\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: \(4,308,648\) [7045]
   - Less: amount offset by customer securities: \(-3,872,645\) [7047] \(436,003\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: \(3,790,041,563\) [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. **Cash**: \(988,086,040\) [7070]
   - B. **Securities representing investments of customers' funds (at market)**: \(0\) [7080]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**: \(487,586\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. **Cash**: \(607,282,752\) [7100]
   - B. **Securities representing investments of customers' funds (at market)**: \(847,117,301\) [7110]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)**: \(201,737,221\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: \(26,247,731\) [7130]

10. **Exchange traded options**
    - A. **Value of open long option contracts**: \(5,049,071,818\) [7132]
    - B. **Value of open short option contracts**: \(-3,901,147,244\) [7133]

11. **Net equities with other FCMs**
    - A. **Net liquidating equity**: \(0\) [7140]
    - B. **Securities representing investments of customers' funds (at market)**: \(0\) [7160]
    - C. **Securities held for particular customers or option customers in lieu of cash (at market)**: \(0\) [7170]

12. **Segregated funds on hand**: \(3,502,569\) [7150]

13. **Total amount in segregation (add lines 7 through 12)**: \(3,822,385,774\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: \(32,344,211\) [7190]

15. **Management Target Amount for Excess funds in segregation**: \(19,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: \(13,344,211\) [7198]
<table>
<thead>
<tr>
<th><strong>STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS</strong></th>
<th><strong>AND</strong></th>
<th><strong>FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA</strong></th>
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Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]