INITIAL
End Date: 7/18/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/19/2022
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents CH
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 42,117,031 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -116,440 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 5,273 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -15,761 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 41,990,103 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,801 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,801 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 41,991,904 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 41,991,904 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 14,980,697 [7500]
   B. Other banks qualified under Regulation 30.7 4,003,087 [7520] 18,983,784 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 16,786,937 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 16,786,937 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 8,044,863 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 8,044,863 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 3,772,072 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 5,273 [7730]
   E. Value of short option contracts -15,761 [7735] 3,761,584 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 47,577,168 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 5,585,264 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 4,585,264 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: $1,796,932,024 [7010]
   - B. Securities (at market): $186,845,670 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $811,693,845 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $5,173,045,352 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -$3,956,875,763 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $4,011,641,128 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $3,335,358 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: $4,012,064,355 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $984,232,351 [7070]
   - B. Securities representing investments of customers’ funds (at market): $0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $473,231 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $756,954,446 [7100]
   - B. Securities representing investments of customers’ funds (at market): $846,695,782 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $183,044,094 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $52,640,323 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: $5,173,045,352 [7132]
    - B. Value of open short option contracts: -$3,956,875,763 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers’ funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. **Segregated funds on hand**: $3,328,345 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $4,043,538,161 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $31,473,806 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $12,473,806 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: \[0\] [8500]
   - B. Securities (at market): \[0\] [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: \[0\] [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: \[0\] [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): \[0\] [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: \[0\] [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: \[0\] [8560] [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - \[0\] [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: \[0\] [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \[0\] [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[0\] [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: \[0\] [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \[0\] [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[0\] [8650]

9. **Net settlement from (to) derivatives clearing organizations**: \[0\] [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: \[0\] [8670]
    - B. Value of open cleared swaps short option contracts: \[0\] [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \[0\] [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \[0\] [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[0\] [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: \[0\]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \[0\] [8715]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \[0\] [8720]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: \[0\] [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: \[0\] [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: \[0\] [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: \[0\] [8770]