INITIAL
End Date: 7/19/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/20/2022
FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 36,797,541 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 1,375,184 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 3,914 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -19,852 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - Total: 38,160,932 [7355]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - Total: 4,145 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 4,145 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5): 38,160,932 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 12,744,836 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,013,147 [7520] 16,757,983 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 17,691,750 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 17,691,750 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 8,213,020 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 8,213,020 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,328,775 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 3,914 [7730]
   - E. Value of short option contracts: -19,852 [7735] 1,312,837 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - Total: 0 [7760]

7. **Segregated funds on hand**
   - Total: 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - Total: 43,975,590 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount**
    Subtract line 7 Secured Statement Page 1 from Line 8
   - Total: 5,814,658 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - Total: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - Total: 4,814,658 [7785]
### END DATE: 7/19/2022
### FIRM NAME: WEDBUSH SECURITIES INC
### FORM: DAILY SEG - FOCUS II
### SUBMIT DATE: 7/20/2022

#### DAILY SEGREGATION - SEGREGATION STATEMENT

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
   - B. Deduct market value of open option contracts granted (sold) on a contract market

4. **Net equity (deficit) (add lines 1, 2 and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
   - Amount required to be segregated (add lines 4 and 5)

6. **FUNDS IN SEGREGATED ACCOUNTS**
   - Deposited in segregated funds bank accounts
     - A. Cash
     - B. Securities representing investments of customers' funds (at market)
     - C. Securities held for particular customers or option customers in lieu of cash (at market)

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash
   - B. Securities representing investments of customers' funds (at market)
   - C. Securities held for particular customers or option customers in lieu of cash (at market)

8. **Net settlement from (to) derivatives clearing organizations of contract markets**

9. **Exchange traded options**
   - A. Value of open long option contracts
   - B. Value of open short option contracts

10. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of customers' funds (at market)
    - C. Securities held for particular customers or option customers in lieu of cash (at market)

11. **Segregated funds on hand**

12. **Total amount in segregation (add lines 7 through 12)**

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**

14. **Management Target Amount for Excess funds in segregation**

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

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<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
<th>Line No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>1,777,019,956</td>
<td>[7010]</td>
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<tr>
<td>B. Securities (at market)</td>
<td>184,347,845</td>
<td>[7020]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>850,105,373</td>
<td>[7030]</td>
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<td>3. Exchange traded options</td>
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<td></td>
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<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>5,208,967,890</td>
<td>[7032]</td>
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<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-4,018,297,737</td>
<td>[7033]</td>
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<tr>
<td>4. Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>4,002,143,327</td>
<td>[7040]</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>2,404,698</td>
<td>[7045]</td>
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<tr>
<td>Less: amount offset by customer securities</td>
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<tr>
<td>6. Amount required to be segregated (add lines 4 and 5)</td>
<td>4,002,143,327</td>
<td>[7040]</td>
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<tr>
<td>7. FUNDS IN SEGREGATED ACCOUNTS</td>
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<tr>
<td>A. Cash</td>
<td>975,738,574</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>473,231</td>
<td>[7090]</td>
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<td>8. Margins on deposit with derivatives clearing organizations of contract markets</td>
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<tr>
<td>A. Cash</td>
<td>901,168,725</td>
<td>[7100]</td>
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<td>B. Securities representing investments of customers' funds (at market)</td>
<td>796,879,696</td>
<td>[7110]</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>180,546,269</td>
<td>[7120]</td>
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<td>9. Net settlement from (to) derivatives clearing organizations of contract markets</td>
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<td></td>
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<tr>
<td>10. Exchange traded options</td>
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<td></td>
</tr>
<tr>
<td>A. Value of open long option contracts</td>
<td>5,208,967,890</td>
<td>[7132]</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-4,018,297,737</td>
<td>[7133]</td>
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<td>11. Net equities with other FCMs</td>
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<td>A. Net liquidating equity</td>
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<td>[7140]</td>
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<td>B. Securities representing investments of customers' funds (at market)</td>
<td>0</td>
<td>[7160]</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
<td>[7170]</td>
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<tr>
<td>12. Segregated funds on hand</td>
<td>3,328,345</td>
<td>[7150]</td>
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<td>13. Total amount in segregation (add lines 7 through 12)</td>
<td>4,034,751,102</td>
<td>[7180]</td>
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<tr>
<td>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>32,171,881</td>
<td>[7190]</td>
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<tr>
<td>15. Management Target Amount for Excess funds in segregation</td>
<td>19,000,000</td>
<td>[7194]</td>
</tr>
<tr>
<td>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>13,171,881</td>
<td>[7198]</td>
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</tbody>
</table>
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]