INITIAL
End Date: 7/21/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/22/2022
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents CH
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 [7305]</td>
<td></td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - Cash
     - Amount: 37,538,880 [7315]
   - Securities (at market)
     - Amount: 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   - Amount: 5,207,407 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
      - Amount: 4,928 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      - Amount: -12,546 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   - Amount: 42,738,669 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - Amount: 3,894 [7351]
   - Less: amount offset by customer owned securities
     - Amount: 0 [7352] 3,894 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5)
   - Amount: 42,742,563 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - Amount: 42,742,563 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   a. Banks located in the United States
      - Amount: 16,880,715 [7500]
   b. Other banks qualified under Regulation 30.7
      - Amount: 4,014,550 [7520] 20,895,265 [7530]

2. Securities
   a. In safekeeping with banks located in the United States
      - Amount: 0 [7540]
   b. In safekeeping with other banks qualified under Regulation 30.7
      - Amount: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   a. Cash
      - Amount: 16,720,647 [7580]
   b. Securities
      - Amount: 0 [7590]
   c. Unrealized gain (loss) on open futures contracts
      - Amount: 0 [7600]
   d. Value of long option contracts
      - Amount: 0 [7610]
   e. Value of short option contracts
      - Amount: 0 [7615] 16,720,647 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   a. Cash
      - Amount: 9,968,673 [7640]
   b. Securities
      - Amount: 0 [7650]
   c. Amount due to (from) clearing organization - daily variation
      - Amount: 0 [7660]
   d. Value of long option contracts
      - Amount: 0 [7670]
   e. Value of short option contracts
      - Amount: 0 [7675] 9,968,673 [7680]

5. Amounts held by members of foreign boards of trade
   a. Cash
      - Amount: 1,270,247 [7700]
   b. Securities
      - Amount: 0 [7710]
   c. Unrealized gain (loss) on open futures contracts
      - Amount: 0 [7720]
   d. Value of long option contracts
      - Amount: 4,928 [7730]
   e. Value of short option contracts
      - Amount: -12,546 [7735] 1,262,629 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - Amount: 0 [7760]

7. Segregated funds on hand
   - Amount: 0 [7765]

8. Total funds in separate section 30.7 accounts
   - Amount: 48,847,214 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - Amount: 6,104,651 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - Amount: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - Amount: 5,104,651 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 1,738,177,217 [7010]
   - B. Securities (at market): 188,260,330 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 916,728,020 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 5,312,746,988 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: 4,102,416,616 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 4,053,495,939 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 7,437,292 [7045]
   - Less: amount offset by customer securities: -7,015,400 [7047] 421,892 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 4,053,917,831 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Deposited in segregated funds bank accounts: 996,139,855 [7070]
     - B. Securities representing investments of customers' funds (at market): 0 [7080]
     - C. Securities held for particular customers or option customers in lieu of cash (at market): 480,044 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 1,193,689,166 [7100]
   - B. Securities representing investments of customers' funds (at market): 522,902,794 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 184,435,129 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -23,567,938 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 5,312,746,988 [7132]
    - B. Value of open short option contracts: -4,102,416,616 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 3,345,157 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 4,087,754,579 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 33,836,748 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 14,836,748 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500] 0
   B. Securities (at market) [8510] 0

2. Net unrealized profit (loss) in open cleared swaps [8520] 0

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530] 0
   B. Market value of open cleared swaps option contracts granted (sold) [8540] 0

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550] 0

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560] 0
   Less: amount offset by customer owned securities [8570] 0

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590] 0

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600] 0
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610] 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620] 0

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630] 0
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640] 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650] 0

9. Net settlement from (to) derivatives clearing organizations [8660] 0

Cleared swaps options

10. A. Value of open cleared swaps long option contracts [8670] 0
    B. Value of open cleared swaps short option contracts [8680] 0

Net equities with other FCMs

11. A. Net liquidating equity [8690] 0
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700] 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710] 0

Cleared swaps customer funds on hand

12. A. Cash [8715] 0
    B. Securities representing investments of cleared swaps customers' funds (at market) [8720] 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730] 0

Total amount in cleared swaps customer segregation (add lines 7 through 12) [8740] 0

Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8750] 0

Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8760] 0

Management