INITIAL
End Date: 7/28/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/29/2022
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   3,600,243 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
   7,340 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   -10,524 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.)  
   38,178,474 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   73,707 [7351]
   Less: amount offset by customer owned securities  
   0 [7352] 73,707 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  
   38,252,181 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   38,252,181 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States  
   13,811,935 [7500]
   B. Other banks qualified under Regulation 30.7  
   5,253,541 [7520] 19,065,476 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
   0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
   13,417,126 [7580]
   B. Securities  
   0 [7590]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]
   D. Value of long option contracts  
   0 [7610]
   E. Value of short option contracts  
   0 [7615] 13,417,126 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
   10,720,088 [7640]
   B. Securities  
   0 [7650]
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]
   D. Value of long option contracts  
   0 [7670]
   E. Value of short option contracts  
   0 [7675] 10,720,088 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
   981,756 [7700]
   B. Securities  
   0 [7710]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]
   D. Value of long option contracts  
   7,340 [7730]
   E. Value of short option contracts  
   -10,524 [7735] 978,572 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]

7. Segregated funds on hand  
   0 [7765]

8. Total funds in separate section 30.7 accounts  
   44,181,262 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   5,929,081 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    4,929,081 [7785]
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash
     - **1,776,216,244** [7010]
   - B. Securities (at market)
     - **194,359,607** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **840,791,685** [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
     - **5,274,039,978** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - **-4,053,606,013** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **4,031,801,501** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
     - **-1,626,396** [7047] **454,788** [7050]
   - **2,081,184** [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **4,032,256,289** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash
     - **999,076,438** [7070]
   - B. Securities representing investments of customers' funds (at market)
     - **0** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - **466,572** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash
     - **994,204,486** [7100]
   - B. Securities representing investments of customers' funds (at market)
     - **771,911,468** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - **190,586,248** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-117,108,525** [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts
      - **5,274,039,978** [7132]
    - B. Value of open short option contracts
      - **-4,053,606,013** [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - **0** [7140]
    - B. Securities representing investments of customers' funds (at market)
      - **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - **0** [7170]

12. **Segregated funds on hand**
    - **3,306,787** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **4,062,877,439** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **30,621,150** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **11,621,150** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]