INITIAL
End Date: 8/1/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/2/2022
INITIAL
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents CH
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#### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   | A. Cash       | 34,815,741 [7315]  
   | B. Securities (at market) | 0 [7317]  

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   | 3,337,787 [7325]  

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
      | 4,687 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
      | -2,756 [7337]  

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   | 38,155,499 [7345]  

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   | 74,912 [7351]  

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**  
   Method (add lines 4 and 5)  
   | 38,230,371 [7355]  

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   | 38,230,371 [7360]  

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   | A. Banks located in the United States | 12,420,304 [7500]  
   | B. Other banks qualified under Regulation 30.7 | 5,277,174 [7520]  
   | **Total** | **17,697,478 [7530]**  

2. **Securities**  
   | A. In safekeeping with banks located in the United States | 0 [7540]  
   | B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560]  

3. **Equities with registered futures commission merchants**  
   | A. Cash | 13,708,179 [7580]  
   | B. Securities | 0 [7590]  
   | C. Unrealized gain (loss) on open futures contracts | 0 [7600]  
   | D. Value of long option contracts | 0 [7610]  
   | E. Value of short option contracts | 0 [7615]  
   | **Total** | **13,708,179 [7620]**  

4. **Amounts held by clearing organizations of foreign boards of trade**  
   | A. Cash | 11,004,464 [7640]  
   | B. Securities | 0 [7650]  
   | C. Amount due to (from) clearing organization - daily variation | 0 [7660]  
   | D. Value of long option contracts | 0 [7670]  
   | E. Value of short option contracts | 0 [7675]  
   | **Total** | **11,004,464 [7680]**  

5. **Amounts held by members of foreign boards of trade**  
   | A. Cash | 1,715,631 [7700]  
   | B. Securities | 0 [7710]  
   | C. Unrealized gain (loss) on open futures contracts | 0 [7720]  
   | D. Value of long option contracts | 4,687 [7730]  
   | E. Value of short option contracts | -2,756 [7735]  
   | **Total** | **1,717,562 [7740]**  

6. **Amounts with other depositories designated by a foreign board of trade**  
   | 0 [7760]  

7. **Segregated funds on hand**  
   | 0 [7765]  

8. **Total funds in separate section 30.7 accounts**  
   | 44,127,683 [7770]  

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   | 5,897,312 [7780]  

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    | 1,000,000 [7780]  

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    | 4,897,312 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,786,341,074 [7010]
   B. Securities (at market) 197,116,851 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 868,808,721 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 5,300,965,560 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -4,106,555,441 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 4,046,676,765 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 3,647,052 [7045]
   Less: amount offset by customer securities -2,894,155 [7047] 752,897 [7050]

6. Amount required to be segregated (add lines 4 and 5) 4,047,429,662 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 1,001,935,284 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 474,150 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 886,409,028 [7100]
   B. Securities representing investments of customers' funds (at market) 772,102,615 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 193,145,651 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 26,159,517 [7130]

10. Exchange traded options
    A. Value of open long option contracts 5,300,965,560 [7132]
    B. Value of open short option contracts -4,106,555,441 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,497,050 [7150]

13. Total amount in segregation (add lines 7 through 12) 4,078,133,414 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 30,703,752 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 11,703,752 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8570] 0 [8580]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]