INITIAL
End Date: 8/3/2022
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/4/2022
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $0; or
     19,000,000
  b. Minimum percentage of customer segregated funds required:%; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer segregated funds required between:% and%.
     0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $0; or
     1,000,000
  b. Minimum percentage of customer secured funds required:%; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of customer secured funds required between:% and%.
     0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0; or
  b. Minimum percentage of cleared swaps customer collateral required:%; or
     0
  c. Dollar amount range between:and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%.
     0 0

Attach supporting documents CH
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 38,316,674 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,811,643 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 3,900 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -7,041 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 40,125,176 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 770 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 770 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 40,125,946 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 40,125,946 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 14,246,619 [7500]
   - B. Other banks qualified under Regulation 30.7: 7,034,389 [7520] 21,281,008 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 14,563,635 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 14,563,635 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 9,893,473 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 9,893,473 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 439,623 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 3,900 [7730]
   - E. Value of short option contracts: -7,041 [7735] 436,482 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 46,174,598 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 6,048,652 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 5,048,652 [7785]
**INITIAL**

**End Date:** 8/3/2022  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 8/4/2022

## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

### 1. Net ledger balance

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>1,606,533,102</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>198,570,883</td>
</tr>
</tbody>
</table>

### 2. Net unrealized profit (loss) in open futures contracts traded on a contract market

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>762,145,202</td>
</tr>
</tbody>
</table>

### 3. Exchange traded options

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>5,317,837,260</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-4,181,164,049</td>
</tr>
</tbody>
</table>

### 4. Net equity (deficit) (add lines 1, 2 and 3)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,703,922,398</td>
</tr>
</tbody>
</table>

### 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less: amount offset by customer securities</td>
<td>-2,332,997</td>
</tr>
<tr>
<td>450,892</td>
<td></td>
</tr>
</tbody>
</table>

### 6. Amount required to be segregated (add lines 4 and 5)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,704,373,290</td>
</tr>
</tbody>
</table>

## FUNDS IN SEGREGATED ACCOUNTS

### 7. Deposited in segregated funds bank accounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>936,353,662</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>465,407</td>
</tr>
</tbody>
</table>

### 8. Margins on deposit with derivatives clearing organizations of contract markets

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>662,723,161</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>722,402,970</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>194,606,997</td>
</tr>
</tbody>
</table>

### 9. Net settlement from (to) derivatives clearing organizations of contract markets

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>80,563,236</td>
</tr>
</tbody>
</table>

### 10. Exchange traded options

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Value of open long option contracts</td>
<td>5,317,837,260</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-4,181,164,049</td>
</tr>
</tbody>
</table>

### 11. Net equities with other FCMs

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Net liquidating equity</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
</tbody>
</table>

### 12. Segregated funds on hand

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,498,479</td>
</tr>
</tbody>
</table>

### 13. Total amount in segregation (add lines 7 through 12)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,737,287,123</td>
</tr>
</tbody>
</table>

### 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>32,913,833</td>
</tr>
</tbody>
</table>

### 15. Management Target Amount for Excess funds in segregation

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>19,000,000</td>
</tr>
</tbody>
</table>

### 16. Excess (deficiency) funds in segregation over (under) Management Target Amount

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Excess</td>
<td>13,913,833</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                                           0 [8500]
   B. Securities (at market)                         0 [8510]

2. Net unrealized profit (loss) in open cleared swaps   0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased   0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)   0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)         0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount                      0 [8560]
   Less: amount offset by customer owned securities    0 [8570]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   Funds in Cleared Swaps Customer Segregated Accounts   0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                                           0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)   0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)   0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash                                           0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)   0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)   0 [8650]

9. Net settlement from (to) derivatives clearing organizations   0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity                          0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)   0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)   0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                                           0
    B. Securities representing investments of cleared swaps customers' funds (at market)   0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)   0 [8720]  0 [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)   0 [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)   0 [8750]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts   0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    0 [8770]